

# Construction of invisible defects for acoustic problems with a finite number of measurements

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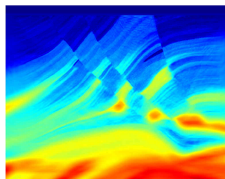
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*Inria*



# General setting

- ▶ We are interested in methods based on the **propagation of waves** to determine the shape, the physical properties of objects, in an **exact** or **qualitative** manner, from given measurements.
- ▶ GENERAL PRINCIPLE OF THE METHODS:
  - i) send waves in the medium;
  - ii) measure the scattered field;
  - iii) deduce information on the structure.



- Many **techniques**: Xray, ultrasound imaging, seismic tomography, ...
- Many **applications**: biomedical imaging, non destructive testing of materials, geophysics, ...

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  - ① Scattering in **free space**
  - ② Scattering in **waveguides**

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- ▶ We will consider two types of problems:
  - ① Scattering in **free space**
  - ② Scattering in **waveguides**
- ▶ At least two reasons to study invisibility questions:
  - We can wish to **hide objects**.
  - It allows to understand **limits** of imaging techniques.

# Outline of the talk

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## 1 Invisibility in free space

- The general scheme
- The forbidden case
- Numerical experiments

## 2 Invisibility for waveguide problems

- Construction of invisible penetrable defects
- Can one hide a small Dirichlet obstacle?
- Can one hide a perturbation of the wall?

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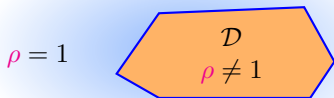
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# Model problem

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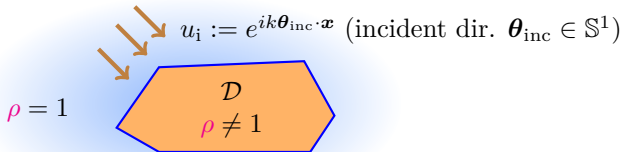
- Scattering in **time-harmonic** regime of an **incident plane wave** by a bounded penetrable **inclusion**  $\mathcal{D}$  (coefficients  $\rho$ ) in  $\mathbb{R}^2$ .



$$\left| \begin{array}{l} \text{Find } u \text{ such that} \\ -\Delta u = k^2 \rho u \quad \text{in } \mathbb{R}^2, \\ u = u_i + u_s \quad \text{in } \mathbb{R}^2, \\ \lim_{r \rightarrow +\infty} \sqrt{r} \left( \frac{\partial u_s}{\partial r} - i k u_s \right) = 0. \end{array} \right. \quad (1)$$

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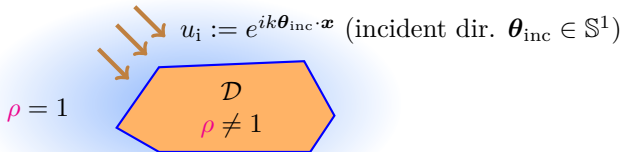
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(1)

DEFINITION:

$u_i =$  **incident** field (data)

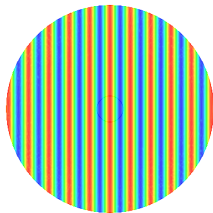
$u =$  **total** field (uniquely defined by (1))

$u_s =$  **scattered** field (uniquely defined by (1)).

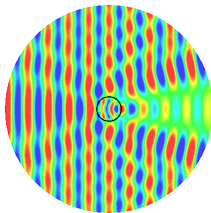
# Far field pattern

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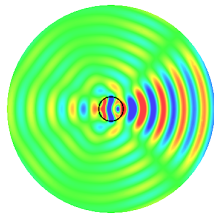
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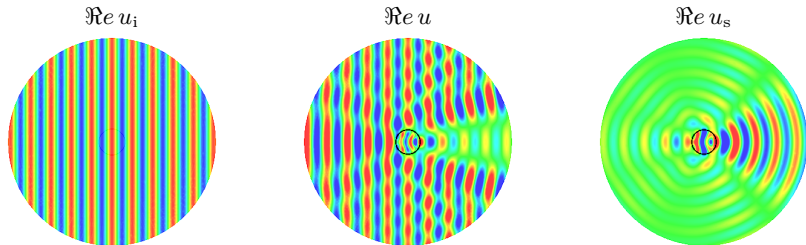
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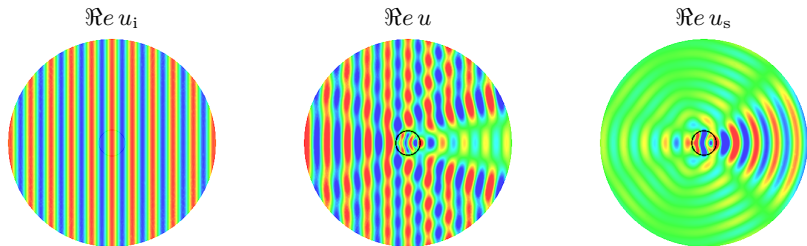
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- The scattered field of an incident **plane wave** of direction  $\boldsymbol{\theta}_{\text{inc}}$  behaves in each direction like a **cylindrical wave** at infinity:

$$u_s(\mathbf{x}, \boldsymbol{\theta}_{\text{inc}}) = \frac{e^{ikr}}{\sqrt{r}} \left( u_s^\infty(\boldsymbol{\theta}_{\text{sca}}, \boldsymbol{\theta}_{\text{inc}}) + O(1/r) \right).$$

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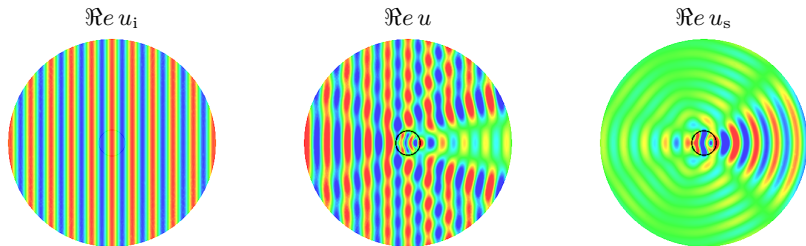


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At infinity, one measures the **far field pattern** (other terms are too small).

# Setting

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► The goal of imaging techniques is to find features of the inclusion from the knowledge of  $u_s^\infty(\cdot, \cdot)$  on a subset of  $\mathbb{S}^1 \times \mathbb{S}^1$ .

– In literature, most of the techniques require a **continuum of data**.

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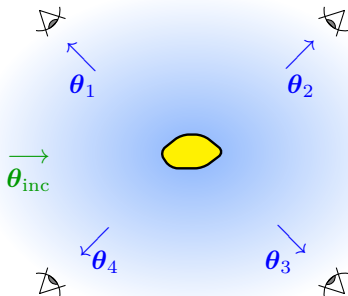
A diagram illustrating a scattering setup. A yellow, irregularly shaped object is centered within a large, light blue, circular region. To the left of the object, a green arrow points horizontally towards the object, with the label  $\theta_{\text{inc}}$  positioned below it.

$\theta_{\text{inc}}$

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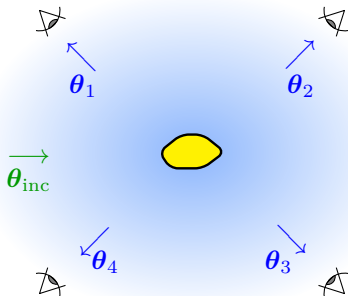
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→ We measure  $u_s^\infty(\theta_1), \dots, u_s^\infty(\theta_N)$ .

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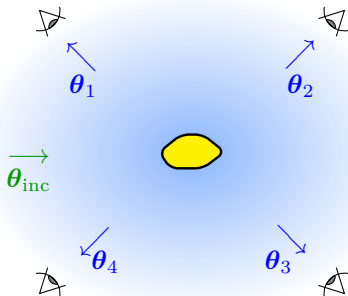
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We explain how to construct inclusions such that

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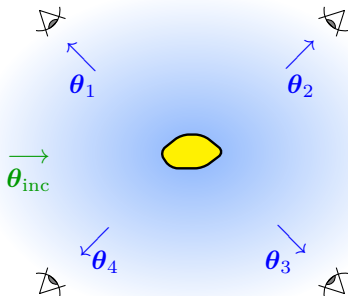
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- To simplify the presentation, only one incident direction  $\theta_{\text{inc}}$  and  $N$  scattering directions  $\theta_1, \dots, \theta_N$  (given).

Find a **real valued function**  $\rho \neq 1$ , with  $\rho - 1$  **supported in  $\overline{\mathcal{D}}$** , such that the solution to the problem

$$\left| \begin{array}{l} \text{Find } u = u_s + e^{ik\theta_{\text{inc}} \cdot \mathbf{x}} \text{ such that} \\ -\Delta u = k^2 \rho u \quad \text{in } \mathbb{R}^2, \\ u_s \text{ is outgoing} \end{array} \right.$$

satisfies  $u_s^\infty(\theta_1) = \dots = u_s^\infty(\theta_N) = 0$ .

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# Sketch of the method

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- ▶ We will work as in the proof of the **implicit functions theorem**.

The idea was used in **Nazarov 11** to construct **waveguides** for which there are **embedded eigenvalues** in the **continuous spectrum**.



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- ▶ Define  $\sigma = \rho - 1$  and gather the measurements in the vector

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( $N$  complex measurements  $\Rightarrow$   $2N$  real measurements)

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- ▶ No obstacle leads to null measurements  $\Rightarrow F(0) = 0$ .

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- ▶ We look for **small perturbations** of the reference medium:  $\sigma = \varepsilon\mu$  where  $\varepsilon > 0$  is a small parameter and where  $\mu$  has to be determined.

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# Sketch of the method

- Define  $\sigma = \rho - 1$  and gather the measurements in the vector

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$$u_s^\varepsilon(\boldsymbol{\theta}_n) = 0 + \varepsilon c k^2 \int_{\mathcal{D}} \mu e^{ik(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \mathbf{x}} d\mathbf{x} + O(\varepsilon^2).$$

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- Clearly, we need to avoid the configuration  $\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n = 0$ .

$\boldsymbol{\theta}_{\text{inc}}$   
 $\dashrightarrow$   
 Emitter



$\boldsymbol{\theta}_n = \boldsymbol{\theta}_{\text{inc}}$   
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- And one can prove that if  $\boldsymbol{\theta}_n \neq \boldsymbol{\theta}_{\text{inc}}$ ,  $n = 1, \dots, N$ , the answer is yes.

# Main result

PROPOSITION: Assume that  $\theta_n \neq \theta_{\text{inc}}$  for  $n = 1, \dots, N$ . For  $\varepsilon$  **small enough**, define  $\rho^{\text{sol}} = 1 + \varepsilon \mu^{\text{sol}}$  with

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Then the solution of the scattering problem

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satisfies  $u_s^\infty(\theta_1) = \dots = u_s^\infty(\theta_N) = 0$ .

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→ We need  $\varepsilon$  to be **small enough** to prove that  $G^\varepsilon$  is a **contraction**.

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**Existence of invisible inclusions** may appear not so surprising since there are  $2N$  measurements and  $\rho \in L^\infty(\mathcal{D})$ .

$\rho^{sol} u_{inc}$  in  $\mathbb{R}^2$ ,

$u_s$  is outgoing

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**Existence of invisible inclusions** may appear not so surprising since there are  $2N$  measurements and  $\rho \in L^\infty(\mathcal{D})$ . Let us see the case  $\theta_n = \theta_{\text{inc}} \dots$

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## 1 Invisibility in free space

- The general scheme
- The forbidden case
- Numerical experiments

## 2 Invisibility for waveguide problems

- Construction of invisible penetrable defects
- Can one hide a small Dirichlet obstacle?
- Can one hide a perturbation of the wall?

## The case $\theta_{\text{inc}} = \theta_n$

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- ▶ This allows to prove the **optical theorem** (already obtained by Rayleigh)

$$\Im m(c^{-1} u_s^\infty(\boldsymbol{\theta}_{\text{inc}})) = k \int_{\mathbb{S}^1} |u_s^\infty(\boldsymbol{\theta})|^2 d\boldsymbol{\theta}.$$

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- **No solution** if  $\mathcal{D}$  has corners and under certain assumptions on  $\rho$ .
  - Corners always scatter, E. Blåsten, L. Päivärinta, J. Sylvester, 2014
  - Corners and edges always scatter, J. Elschner, G. Hu, 2015
- And if  $\mathcal{D}$  is **smooth**?  $\Rightarrow$  The problem seems open.



Imposing invisibility in the direction  $\theta_{\text{inc}}$  requires to impose invisibility **in all directions**  $\theta \in \mathbb{S}^1$ ! (not only for small but for all defects)

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## 1 Invisibility in free space

- The general scheme
- The forbidden case
- Numerical experiments

## 2 Invisibility for waveguide problems

- Construction of invisible penetrable defects
- Can one hide a small Dirichlet obstacle?
- Can one hide a perturbation of the wall?

# Data and algorithm

---

- ▶ We can solve the fixed point problem using an **iterative procedure**: we set  $\vec{\tau}^0 = (0, \dots, 0)^\top$  then define

$$\vec{\tau}^{n+1} = G^\varepsilon(\vec{\tau}^n).$$

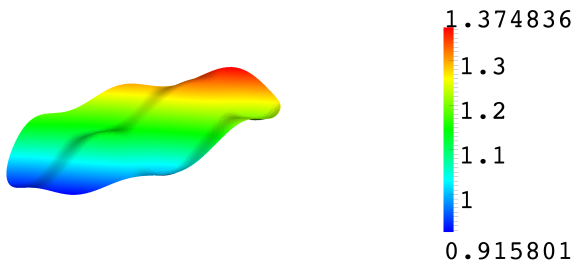
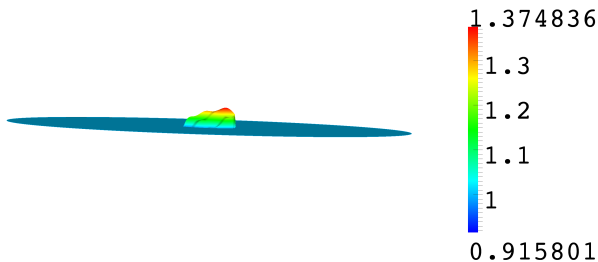
- ▶ At each step, we solve a scattering problem. We use a **P2 finite element method** set on the ball  $B_8$ . On  $\partial B_8$ , a truncated **Dirichlet-to-Neumann map** with 13 harmonics serves as a **transparent boundary condition**.
- ▶ For the numerical experiments, we take  $\mathcal{D} = B_1$ ,  $M = 3$  (3 directions of observation) and

$$\left| \begin{array}{ll} \boldsymbol{\theta}_{\text{inc}} = (\cos(\psi_{\text{inc}}), \sin(\psi_{\text{inc}})), & \psi_{\text{inc}} = 0^\circ \\ \boldsymbol{\theta}_1 = (\cos(\psi_1), \sin(\psi_1)), & \psi_1 = 90^\circ \\ \boldsymbol{\theta}_2 = (\cos(\psi_2), \sin(\psi_2)), & \psi_2 = 180^\circ \\ \boldsymbol{\theta}_3 = (\cos(\psi_3), \sin(\psi_3)), & \psi_3 = 225^\circ \end{array} \right.$$



# Results: coefficient $\rho$ at the end of the process

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## Results: scattered field

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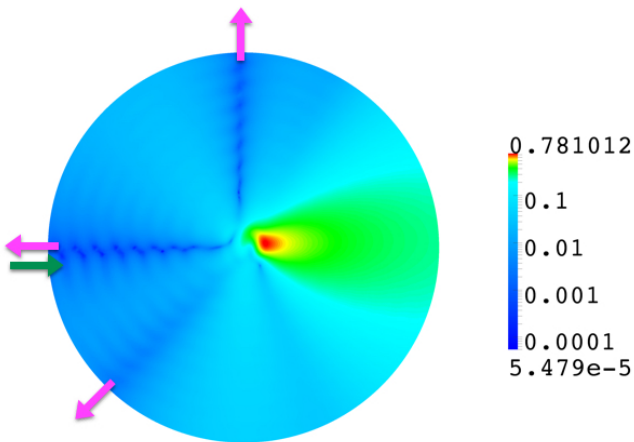


Figure:  $|u_s|$  at the end of the fixed point procedure in **logarithmic scale**. As desired, we see it is **very small** far from  $\mathcal{D}$  in the directions corresponding to the angles  $90^\circ$ ,  $180^\circ$  and  $225^\circ$ . The domain is equal to  $B_8$ .

# Results: far field pattern

---

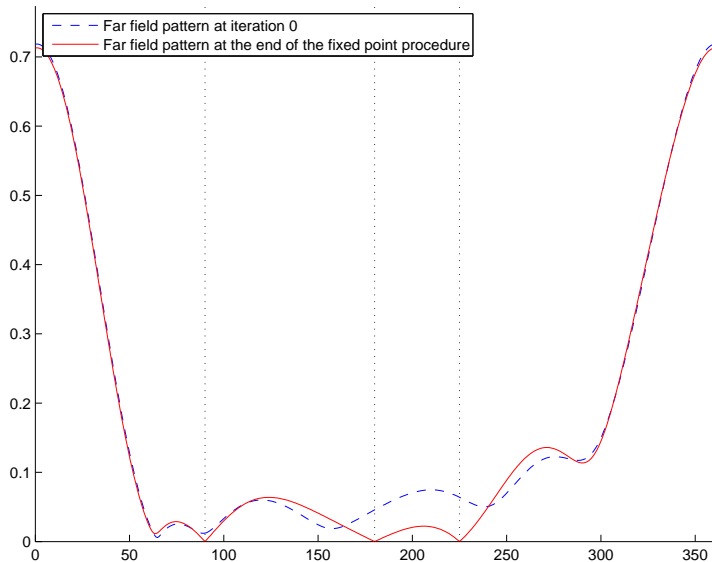


Figure: The dotted lines show the directions where we want  $u_s^\infty$  to vanish.

## 1 Invisibility in free space

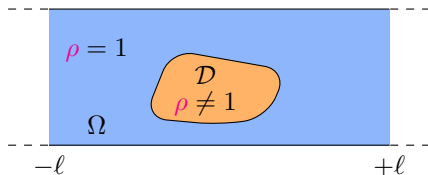
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# Waveguide problem

► Scattering in **time-harmonic** regime of an **incident plane wave** by a bounded penetrable **inclusion**  $\mathcal{D}$  (coefficients  $\rho$ ) in  $\Omega := \{(x, y) \in \mathbb{R} \times (0; 1)\}$ .



Find  $u = u_i + u_s$  s. t.

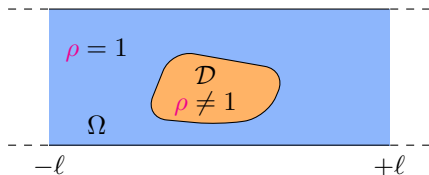
$$-\Delta u = k^2 \rho u \quad \text{in } \Omega,$$

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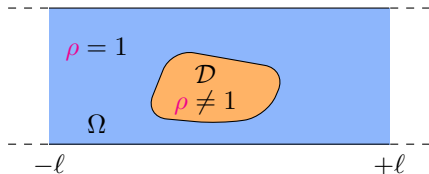


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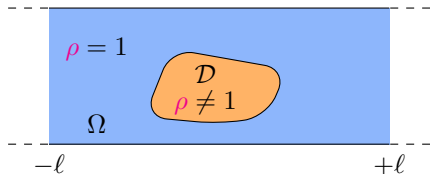
$$u_s = \chi^+ s^+ w^+ + \chi^- s^- w^- + \tilde{u}_s,$$

where  $\tilde{u}_s$  is exponentially decaying at  $\pm\infty$ .

( $\chi^\pm$  are smooth cut-off functions s.t.  $\chi^\pm = 1$  for  $\pm x \geq 2\ell$ ,  $\chi^\pm = 0$  for  $\pm x \leq \ell$ )

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non reflective	if $s^- = 0$
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- ▶ Due to conservation of energy  $|s^-|^2 + |1 + s^+|^2 = 1$ ,

$$s^+ = 0 \quad \Rightarrow \quad s^- = 0 \quad (\text{and } u_s \text{ is expo. decay. at } \pm\infty).$$

The converse is wrong ( $s^- = 0 \not\Rightarrow s^+ = 0$ ).

## Penetrable inclusion

---

- ▶ For  $\sigma = \rho - 1$  (**contrast**), gather the measurements in

$$F(\sigma) = (\Re(s^-/(ik)), \Im(s^-/(ik)), \Re(s^+/(ik)), \Im(s^+/(ik))).$$

Again, we wish to find  $\sigma \neq 0$  such that  $F(\sigma) = 0$ .

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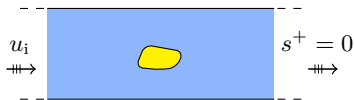
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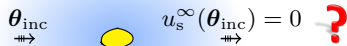
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Waveguide

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Free space

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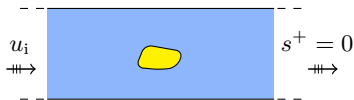
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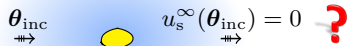
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$$\text{Impose } \begin{cases} s^- = 0 \\ \Im s^+ = 0 \end{cases} \cdot \text{Then, } \begin{cases} |s^-|^2 + |1 + s^+|^2 = 1 \\ s^+ = O(\varepsilon) \end{cases} \Rightarrow s^+ = 0.$$



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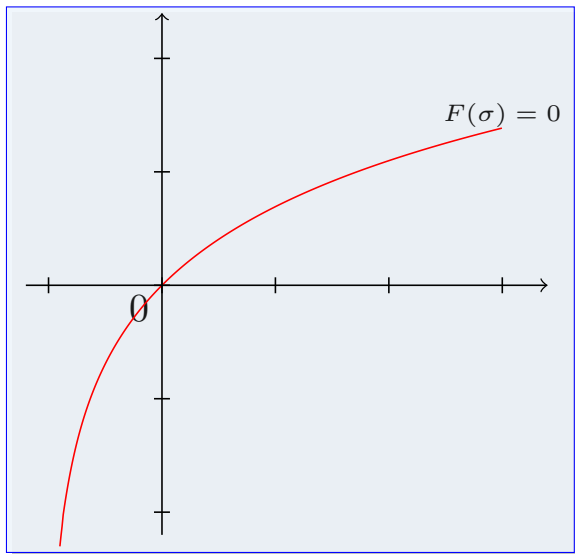
Can we **increase the perturbation** to obtain **larger defects**?



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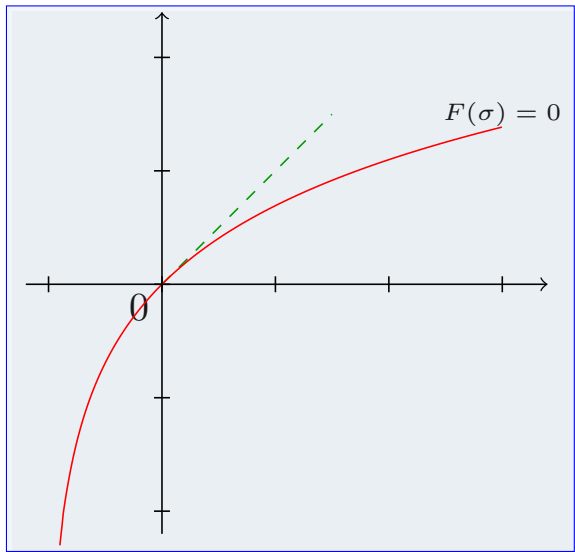
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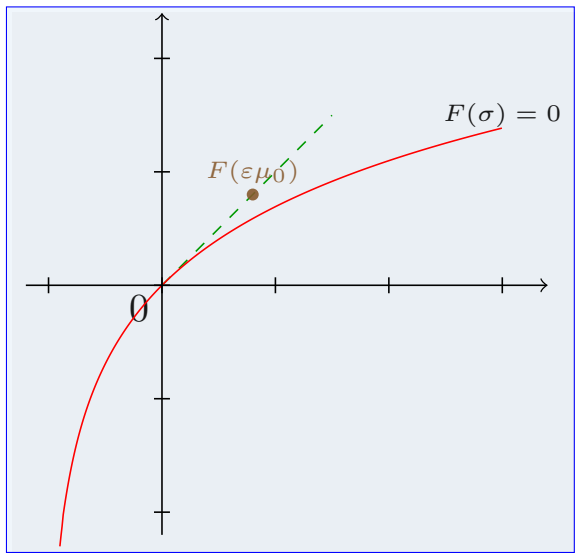
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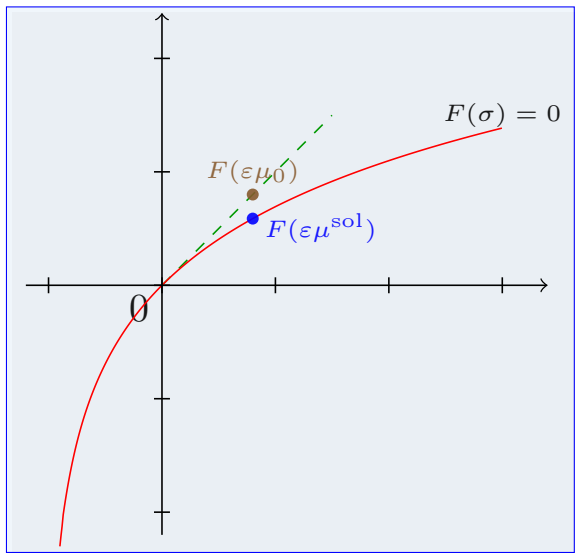
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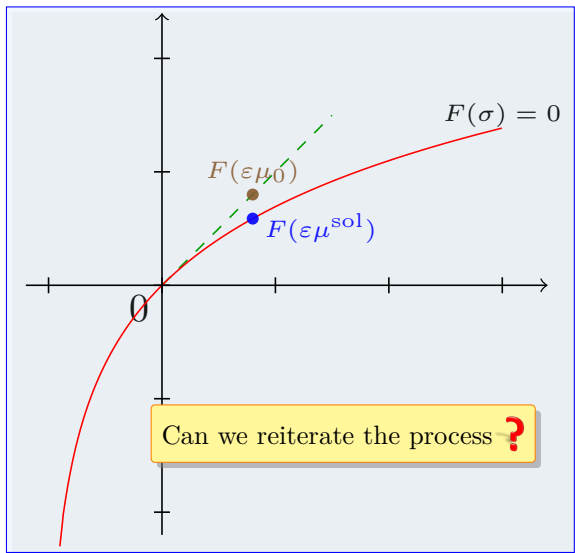
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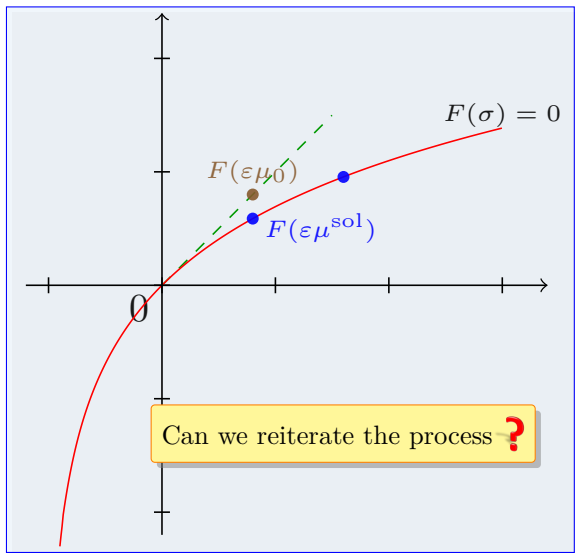
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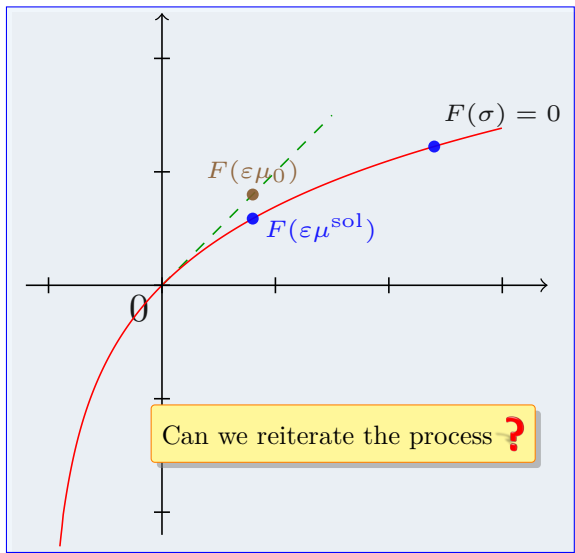
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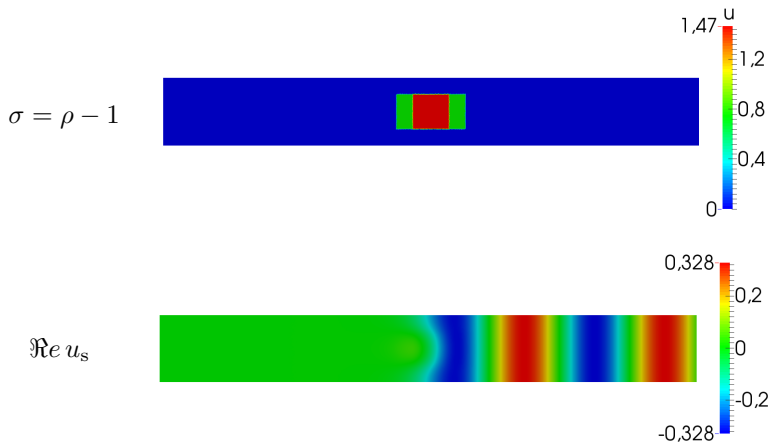
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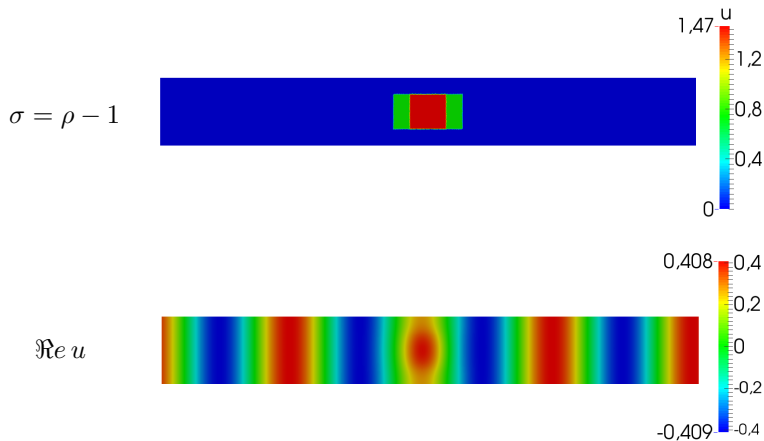
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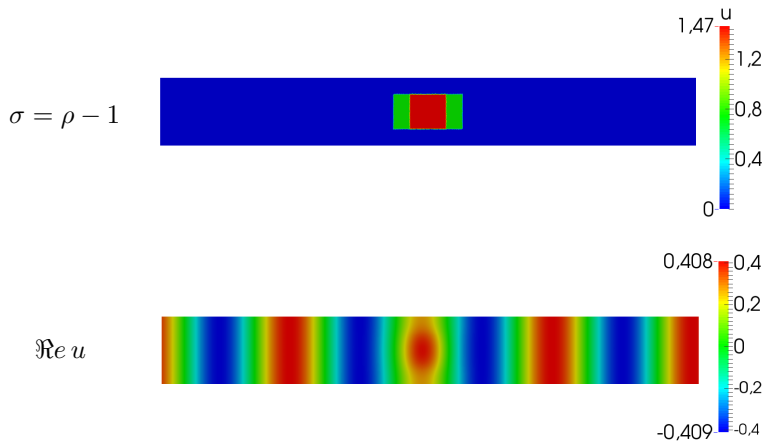
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→ First results are encouraging. Still some questions: at each step, how to choose the **new directions**?

→ We are not able to **prove** that  $ds^-(\sigma) : L^\infty(\mathcal{D}) \rightarrow \mathbb{C}$  is **onto** for  $\sigma \neq 0$ .

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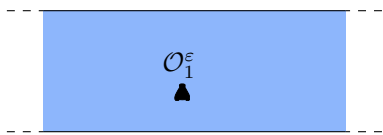
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# Small Dirichlet obstacle

- ▶ Can one hide a small **Dirichlet** obstacle centered at  $M_1$ ?



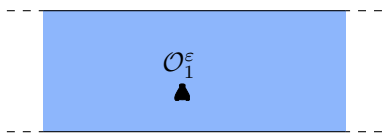
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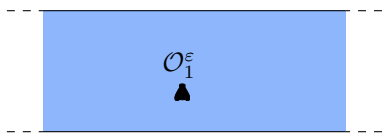
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Due to Dirichlet B.C.,  $w^\pm$  are not the same as previously (but this not important).

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- ▶ In **3D**, we obtain

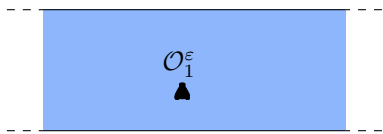
$$s^- = 0 + \varepsilon (4i\pi \operatorname{cap}(\mathcal{O}) w^+(M_1)^2) + O(\varepsilon^2)$$

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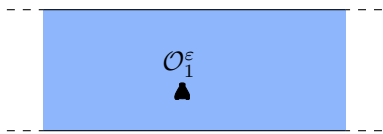
- ▶ In 3D, we obtain

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 **Non zero terms!**  
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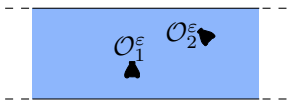
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$\Rightarrow$  One single small obstacle **cannot** even be **non reflective**.

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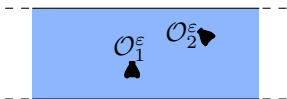
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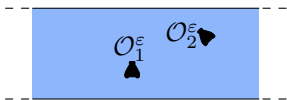


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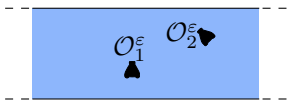
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# Small Dirichlet obstacles



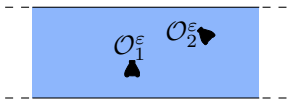
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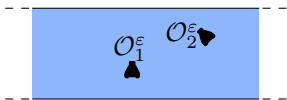
COMMENTS:

→ Hard part is to **justify the asymptotics** for the fixed point problem.

→ We **cannot** impose  $s^+ = 0$  with this strategy.

→ When there are **more propagative waves**, we need **more obstacles**.

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Acting as a **team**, flies can become invisible!



## 1 Invisibility in free space

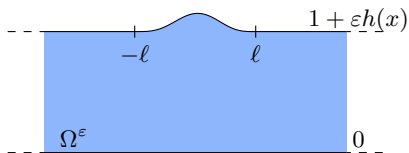
- The general scheme
- The forbidden case
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## 2 Invisibility for waveguide problems

- Construction of invisible penetrable defects
- Can one hide a small Dirichlet obstacle?
- Can one hide a perturbation of the wall?

# Can one hide a perturbation of the wall?

- Pick  $h \in \mathcal{C}_0^\infty(-\ell; \ell)$ ,  $\ell > 0$ . Set  $k \in (0; \pi)$ ,  $w^\pm = e^{\pm ikx} / \sqrt{2k}$ ,  $u_i = w^-$ .



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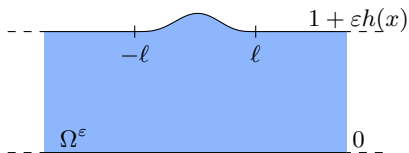
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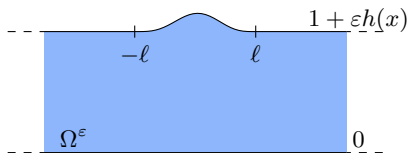
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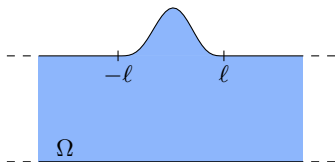
⇒ With this approach, we can impose  $s^- = 0$  but not  $s^+ = 0$ .

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- ▶ More generally, for **any Neumann wave-guide**, one can show that  $s^+ = 0$  implies

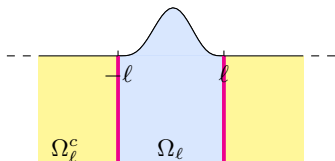
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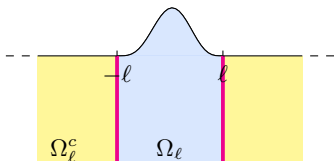
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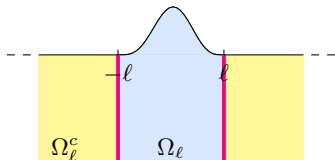
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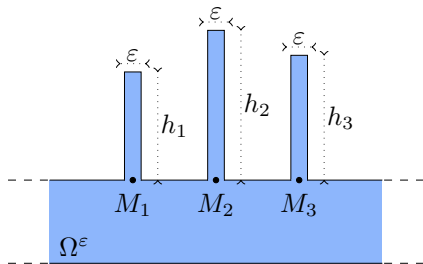
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→ To impose invisibility at **low frequency**, we need to work with special shapes.



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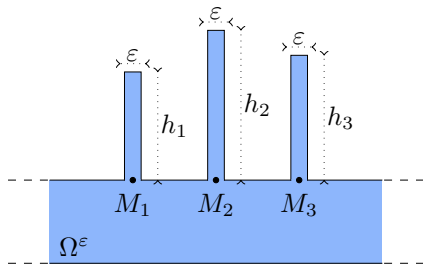
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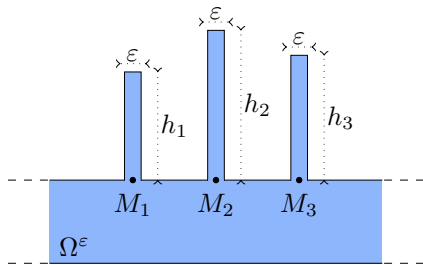
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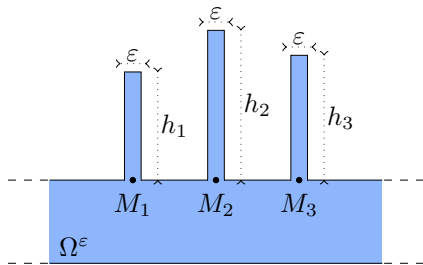
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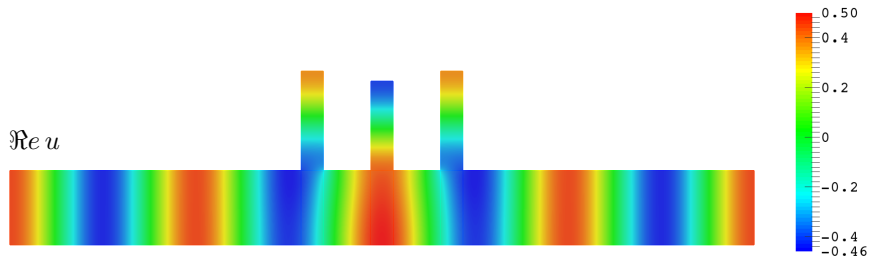
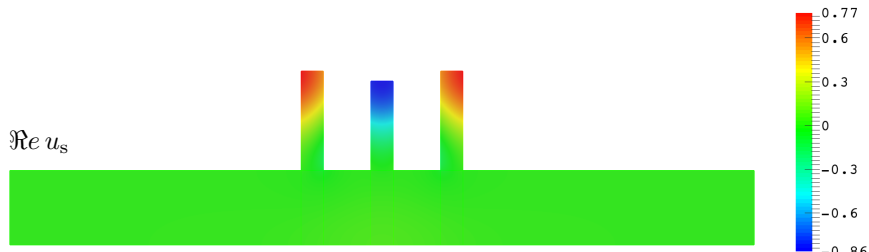
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3) **Energy conservation** +  $[s^+ = O(\varepsilon)] \Rightarrow s^+ = 0$ .



# Numerical results

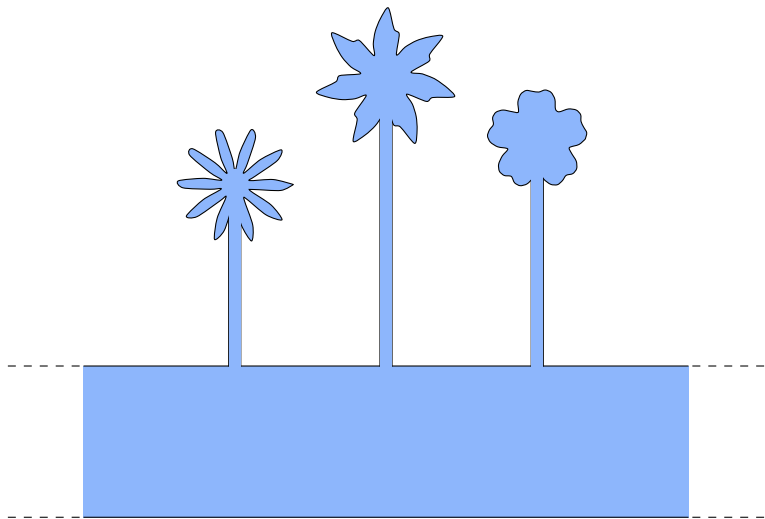
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## Remark

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- ▶ We could also have worked with **gardens of flowers!**



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## Conclusion

### What we did

- ♠ We explained how to construct **invisible perturbations** of a reference situation in a setting with a **finite number** of measurements.

### Future work

- 1) We want to continue the analysis of the **reiteration process** to construct **large** invisible defects of the reference medium.
- 2) It would be interesting to consider **other models** (Maxwell, elasticity, ...) and to investigate cases where the differential is **not onto**.
- 3) For a given perturbation, can we study the frequencies (**invisible modes**) such that invisibility holds?
- 4) We wish to better understand the link between the **invisible modes** and the so-called **trapped modes** in waveguides.



**Thank you for your attention!!!**