

# Maxwell's equations with hypersingularities at a negative index material conical tip

Lucas Chesnel<sup>1</sup>

Collaboration with A.S. Bonnet-BenDhia<sup>2</sup> and M. Rihani<sup>3</sup>.

<sup>1</sup>IDEFIX, Inria-Ensta Paris-EDF, Ensta Paris, France

<sup>2</sup>POEMS, Cnrs-Ensta Paris-Inria, Ensta Paris, France

<sup>3</sup>CMAP, École Polytechnique, France

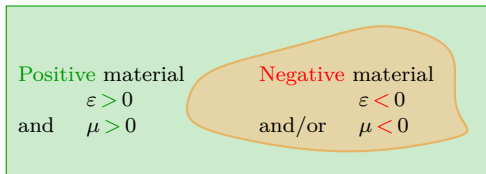


# Goal and motivation

---

We study 3D time harmonic Maxwell's equations in presence of an inclusion of **negative material**:

$$\left\{ \begin{array}{l} \mathbf{curl} \mathbf{E} - i\omega\mu\mathbf{H} = 0 \text{ in } \Omega \\ \mathbf{curl} \mathbf{H} + i\omega\varepsilon\mathbf{E} = \mathbf{J} \text{ in } \Omega \\ + \text{PEC boundary cond.:} \\ \mathbf{E} \times \nu = 0 \text{ on } \partial\Omega \\ \mu\mathbf{H} \cdot \nu = 0 \text{ on } \partial\Omega \end{array} \right.$$

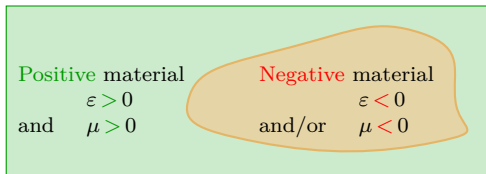


- ▶ For **metals** at optical frequencies,  $\varepsilon < 0$  and  $\mu > 0$ .
- ▶ Artificial **metamaterials** have been realized which can be modelled for certain frequencies by  $\varepsilon < 0$  and  $\mu < 0$ .

# Goal and motivation

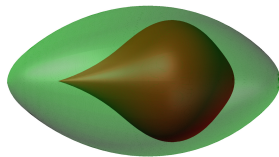
We study 3D time harmonic Maxwell's equations in presence of an inclusion of **negative material**:

$$\begin{cases} \operatorname{curl} \mathbf{E} - i\omega\mu\mathbf{H} = 0 & \text{in } \Omega \\ \operatorname{curl} \mathbf{H} + i\omega\varepsilon\mathbf{E} = \mathbf{J} & \text{in } \Omega \\ + \text{PEC boundary cond.:} \\ \mathbf{E} \times \boldsymbol{\nu} = 0 & \text{on } \partial\Omega \\ \mu\mathbf{H} \cdot \boldsymbol{\nu} = 0 & \text{on } \partial\Omega \end{cases}$$



- ▶ For **metals** at optical frequencies,  $\varepsilon < 0$  and  $\mu > 0$ .
- ▶ Artificial **metamaterials** have been realized which can be modelled for certain frequencies by  $\varepsilon < 0$  and  $\mu < 0$ .

Particular motivation: **non smooth** gold nanoparticles.



**Difficulty:** usual results do not apply, **singularities** at the tip are **amplified**.

# Outline of the talk

---

- 1 Positive coefficients
- 2 Sign-changing coefficients - non critical  $\varepsilon$ ,  $\mu$
- 3 Scalar problems
- 4 Sign-changing coefficients - critical  $\varepsilon$ , non critical  $\mu$
- 5 Sign-changing coefficients - critical  $\varepsilon$ ,  $\mu$

- 1 Positive coefficients
- 2 Sign-changing coefficients - non critical  $\varepsilon, \mu$
- 3 Scalar problems
- 4 Sign-changing coefficients - critical  $\varepsilon$ , non critical  $\mu$
- 5 Sign-changing coefficients - critical  $\varepsilon, \mu$

- ▶ Let us first consider the **classical** case where  $\varepsilon, \mu \geq c > 0$  in  $\Omega$ .
- ▶ We focus our attention on the **electric problem**

$$(\mathcal{P}) \left| \begin{array}{ll} \mathbf{curl} \mu^{-1} \mathbf{curl} \mathbf{E} - \omega^2 \varepsilon \mathbf{E} & = i\omega \mathbf{J} & \text{in } \Omega \\ \mathbf{E} \times \nu & = 0 & \text{in } \partial\Omega \end{array} \right.$$

where  $\mathbf{J} \in \mathbf{L}^2(\Omega) := \mathbf{L}^2(\Omega)^3$  is such that  $\operatorname{div} \mathbf{J} = 0$  in  $\Omega$ .

- ▶ Let us first consider the **classical** case where  $\varepsilon, \mu \geq c > 0$  in  $\Omega$ .
- ▶ We focus our attention on the **electric problem**

$$(\mathcal{P}) \left| \begin{array}{ll} \mathbf{curl} \mu^{-1} \mathbf{curl} \mathbf{E} - \omega^2 \varepsilon \mathbf{E} & = i\omega \mathbf{J} & \text{in } \Omega \\ \mathbf{E} \times \nu & = 0 & \text{in } \partial\Omega \end{array} \right.$$

where  $\mathbf{J} \in \mathbf{L}^2(\Omega) := \mathbf{L}^2(\Omega)^3$  is such that  $\operatorname{div} \mathbf{J} = 0$  in  $\Omega$ .

$$(\mathcal{P}) \Leftrightarrow (\mathcal{P}_{\mathbf{H}}) \left| \begin{array}{l} \text{Find } \mathbf{E} \in \mathbf{H}_N(\mathbf{curl}) \text{ such that for all } \mathbf{E}' \in \mathbf{H}_N(\mathbf{curl}) \\ \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \mathbf{E}' - \omega^2 \varepsilon \mathbf{E} \cdot \mathbf{E}' dx = i\omega \int_{\Omega} \mathbf{J} \cdot \mathbf{E}' dx, \end{array} \right.$$

where  $\mathbf{H}_N(\mathbf{curl}) := \{\mathbf{u} \in \mathbf{L}^2(\Omega) \mid \mathbf{curl} \mathbf{u} \in \mathbf{L}^2(\Omega) \text{ and } \mathbf{u} \times \nu = 0 \text{ on } \partial\Omega\}$ .

- ▶ Let us first consider the **classical** case where  $\varepsilon, \mu \geq c > 0$  in  $\Omega$ .
- ▶ We focus our attention on the **electric problem**

$$(\mathcal{P}) \left| \begin{array}{l} \mathbf{curl} \mu^{-1} \mathbf{curl} \mathbf{E} - \omega^2 \varepsilon \mathbf{E} = i\omega \mathbf{J} \quad \text{in } \Omega \\ \mathbf{E} \times \nu = 0 \quad \text{in } \partial\Omega \end{array} \right.$$

where  $\mathbf{J} \in \mathbf{L}^2(\Omega) := \mathbf{L}^2(\Omega)^3$  is such that  $\operatorname{div} \mathbf{J} = 0$  in  $\Omega$ .

$$(\mathcal{P}) \Leftrightarrow (\mathcal{P}_{\mathbf{H}}) \left| \begin{array}{l} \text{Find } \mathbf{E} \in \mathbf{H}_N(\mathbf{curl}) \text{ such that for all } \mathbf{E}' \in \mathbf{H}_N(\mathbf{curl}) \\ \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \mathbf{E}' - \omega^2 \varepsilon \mathbf{E} \cdot \mathbf{E}' dx = i\omega \int_{\Omega} \mathbf{J} \cdot \mathbf{E}' dx, \end{array} \right.$$

where  $\mathbf{H}_N(\mathbf{curl}) := \{\mathbf{u} \in \mathbf{L}^2(\Omega) \mid \mathbf{curl} \mathbf{u} \in \mathbf{L}^2(\Omega) \text{ and } \mathbf{u} \times \nu = 0 \text{ on } \partial\Omega\}$ .



**Difficulty:**  $\nabla(H_0^1) \subset \ker \mathbf{curl} \cdot$  and the embedding  $\mathbf{H}_N(\mathbf{curl}) \subset \mathbf{L}^2(\Omega)$  is **not compact** which prevents using Fredholm alternative.





Use the **divergence free** condition and work in the space

$$\mathbf{X}_N(\varepsilon) := \{\mathbf{u} \in \mathbf{H}_N(\mathbf{curl}) \mid \operatorname{div}(\varepsilon \mathbf{u}) = 0 \text{ in } \Omega\}$$



Use the **divergence free** condition and work in the space

$$\mathbf{X}_N(\varepsilon) := \{\mathbf{u} \in \mathbf{H}_N(\mathbf{curl}) \mid \operatorname{div}(\varepsilon \mathbf{u}) = 0 \text{ in } \Omega\}$$

$$(\mathbf{H} \in \mathbf{X}_T(\mu) := \{\mathbf{u} \in \mathbf{H}(\mathbf{curl}) \mid \operatorname{div}(\mu \mathbf{u}) = 0, \mu \mathbf{u} \cdot \mathbf{n} = 0 \text{ on } \partial\Omega\}).$$

► This leads to the problem

$$(\mathcal{P}_{\mathbf{X}}) \quad \left| \begin{array}{l} \text{Find } \mathbf{E} \in \mathbf{X}_N(\varepsilon) \text{ such that for all } \mathbf{E}' \in \mathbf{X}_N(\varepsilon) \\ \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \mathbf{E}' - \omega^2 \varepsilon \mathbf{E} \cdot \mathbf{E}' dx = i\omega \int_{\Omega} \mathbf{J} \cdot \mathbf{E}' dx. \end{array} \right.$$



Use the **divergence free** condition and work in the space

$$\mathbf{X}_N(\varepsilon) := \{ \mathbf{u} \in \mathbf{H}_N(\mathbf{curl}) \mid \operatorname{div}(\varepsilon \mathbf{u}) = 0 \text{ in } \Omega \}$$

$$(\mathbf{H} \in \mathbf{X}_T(\mu) := \{ \mathbf{u} \in \mathbf{H}(\mathbf{curl}) \mid \operatorname{div}(\mu \mathbf{u}) = 0, \mu \mathbf{u} \cdot \mathbf{n} = 0 \text{ on } \partial\Omega \} ).$$

► This leads to the problem

$$(\mathcal{P}_{\mathbf{X}}) \quad \left| \begin{array}{l} \text{Find } \mathbf{E} \in \mathbf{X}_N(\varepsilon) \text{ such that for all } \mathbf{E}' \in \mathbf{X}_N(\varepsilon) \\ \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \mathbf{E}' - \omega^2 \varepsilon \mathbf{E} \cdot \mathbf{E}' dx = i\omega \int_{\Omega} \mathbf{J} \cdot \mathbf{E}' dx. \end{array} \right.$$

PROPOSITION: When  $\varepsilon, \mu \geq c > 0$ :

- the embedding  $\mathbf{X}_N(\varepsilon) \subset \mathbf{L}^2(\Omega)$  is **compact** (Weber 80);

-  $(\mathbf{u}, \mathbf{v}) \mapsto \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{u} \cdot \mathbf{curl} \bar{\mathbf{v}} dx$  is **coercive** in  $\mathbf{X}_N(\varepsilon)$ ;

so that  $(\mathcal{P}_{\mathbf{X}})$  satisfies the **Fredholm alternative** (uniqueness  $\Rightarrow$  existence).

- Well-posedness of the **initial** problem comes from the following result:

PROP.: Assume that  $\varepsilon \geq c > 0$ . Then  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{H}})$  iff  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}})$ .

Proof.  $(\mathcal{P}_{\mathbf{H}}) \Rightarrow (\mathcal{P}_{\mathbf{X}})$  is direct.

$\Leftarrow$  Assume that  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}})$ . For  $\mathbf{E}' \in \mathbf{H}_N(\mathbf{curl})$ , let  $\varphi \in H_0^1(\Omega)$  be s.t.

$$\operatorname{div}(\varepsilon \nabla \varphi) = \operatorname{div}(\varepsilon \mathbf{E}').$$

- Well-posedness of the **initial** problem comes from the following result:

PROP.: Assume that  $\varepsilon \geq c > 0$ . Then  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{H}})$  iff  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}})$ .

Proof.  $(\mathcal{P}_{\mathbf{H}}) \Rightarrow (\mathcal{P}_{\mathbf{X}})$  is direct.

$\Leftarrow$  Assume that  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}})$ . For  $\mathbf{E}' \in \mathbf{H}_N(\mathbf{curl})$ , let  $\varphi \in H_0^1(\Omega)$  be s.t.

$$\operatorname{div}(\varepsilon \nabla \varphi) = \operatorname{div}(\varepsilon \mathbf{E}').$$

Then we have  $\mathbf{E}' - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$  so that we can write

$$\int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} (\mathbf{E}' - \nabla \varphi) - \omega^2 \varepsilon \mathbf{E} \cdot (\mathbf{E}' - \nabla \varphi) dx = i\omega \int_{\Omega} \mathbf{J} \cdot (\mathbf{E}' - \nabla \varphi) dx.$$

- Well-posedness of the **initial** problem comes from the following result:

PROP.: Assume that  $\varepsilon \geq c > 0$ . Then  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{H}})$  iff  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}})$ .

Proof.  $(\mathcal{P}_{\mathbf{H}}) \Rightarrow (\mathcal{P}_{\mathbf{X}})$  is direct.

$\Leftarrow$  Assume that  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}})$ . For  $\mathbf{E}' \in \mathbf{H}_N(\mathbf{curl})$ , let  $\varphi \in H_0^1(\Omega)$  be s.t.

$$\operatorname{div}(\varepsilon \nabla \varphi) = \operatorname{div}(\varepsilon \mathbf{E}').$$

Then we have  $\mathbf{E}' - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$  so that we can write

$$\int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \mathbf{E}' - \omega^2 \varepsilon \mathbf{E} \cdot \mathbf{E}' dx = i\omega \int_{\Omega} \mathbf{J} \cdot \mathbf{E}' dx.$$

- Well-posedness of the **initial** problem comes from the following result:

PROP.: Assume that  $\varepsilon \geq c > 0$ . Then  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{H}})$  iff  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}})$ .

Proof.  $(\mathcal{P}_{\mathbf{H}}) \Rightarrow (\mathcal{P}_{\mathbf{X}})$  is direct.

$\Leftarrow$  Assume that  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}})$ . For  $\mathbf{E}' \in \mathbf{H}_N(\mathbf{curl})$ , let  $\varphi \in H_0^1(\Omega)$  be s.t.

$$\operatorname{div}(\varepsilon \nabla \varphi) = \operatorname{div}(\varepsilon \mathbf{E}').$$

Then we have  $\mathbf{E}' - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$  so that we can write

$$\int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \mathbf{E}' - \omega^2 \varepsilon \mathbf{E} \cdot \mathbf{E}' dx = i\omega \int_{\Omega} \mathbf{J} \cdot \mathbf{E}' dx.$$

This implies that  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{H}})$ . □

- 1 Positive coefficients
- 2 Sign-changing coefficients - non critical  $\varepsilon$ ,  $\mu$
- 3 Scalar problems
- 4 Sign-changing coefficients - critical  $\varepsilon$ , non critical  $\mu$
- 5 Sign-changing coefficients - critical  $\varepsilon$ ,  $\mu$



# Sign-changing coefficients

- ▶ Now we allow for a possible **change of sign** of  $\varepsilon$  and/or  $\mu$  in  $\Omega$ .

Introduce the **scalar** operator  $A_\varepsilon : H_0^1(\Omega) \rightarrow H_0^1(\Omega)$  such that

$$(A_\varepsilon \varphi, \varphi')_{H_0^1(\Omega)} = \int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \overline{\varphi'} \, dx, \quad \forall \varphi, \varphi' \in H_0^1(\Omega).$$

Working as above, one shows:

**PROPOSITION:** Assume that  $A_\varepsilon$  is an isomorphism. Then  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{H}})$  iff  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}})$ .

# Sign-changing coefficients

- ▶ Now we allow for a possible **change of sign** of  $\varepsilon$  and/or  $\mu$  in  $\Omega$ .

Introduce the **scalar** operator  $A_\varepsilon : H_0^1(\Omega) \rightarrow H_0^1(\Omega)$  such that

$$(A_\varepsilon \varphi, \varphi')_{H_0^1(\Omega)} = \int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \overline{\varphi'} \, dx, \quad \forall \varphi, \varphi' \in H_0^1(\Omega).$$

Working as above, one shows:

**PROPOSITION:** Assume that  $A_\varepsilon$  is an isomorphism. Then  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{H}})$  iff  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}})$ .

**PROPOSITION:** Assume that  $A_\varepsilon$  is an isomorphism. Then we have

$$\|\mathbf{u}\|_{\Omega} \leq C \|\mathbf{curl} \, \mathbf{u}\|_{\Omega}, \quad \forall \mathbf{u} \in \mathbf{X}_N(\varepsilon).$$

Thus  $\mathbf{X}_N(\varepsilon)$  endowed with  $(\mathbf{curl} \cdot, \mathbf{curl} \cdot)_{\Omega}$  is a **Hilbert space**.

**Proof.** Write  $\mathbf{u} = \nabla \varphi + \mathbf{curl} \, \boldsymbol{\psi}$  with  $\varphi \in H_0^1(\Omega)$  and  $\boldsymbol{\psi} \in \mathbf{X}_T(1)$ . Then use that  $\mathbf{curl} \, \mathbf{curl} \, \boldsymbol{\psi} = \Delta \boldsymbol{\psi} = \mathbf{curl} \, \mathbf{u}$  and  $A_\varepsilon \varphi = \operatorname{div}(\varepsilon \mathbf{curl} \, \boldsymbol{\psi})$ . □

# Sign-changing coefficients

How to study  $(\mathcal{P}_{\mathbf{X}})$  now?

$$(\mathcal{P}_{\mathbf{X}}) \quad \left| \quad \begin{array}{l} \text{Find } \mathbf{E} \in \mathbf{X}_N(\varepsilon) \text{ such that for all } \mathbf{E}' \in \mathbf{X}_N(\varepsilon) : \\ \underbrace{\int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{E}'}}_{a(\mathbf{E}, \mathbf{E}')} - \omega^2 \underbrace{\int_{\Omega} \varepsilon \mathbf{E} \cdot \overline{\mathbf{E}'}}_{c(\mathbf{E}, \mathbf{E}')} = \underbrace{\int_{\Omega} \mathbf{F} \cdot \overline{\mathbf{E}'}}_{\ell(\mathbf{E}')}, \end{array} \right.$$

## Difficulties:

When  $\mu$  changes sign,  $a(\cdot, \cdot)$  is **not coercive**.

When  $\varepsilon$  changes sign, is the embedding  $\mathbf{X}_N(\varepsilon) \subset \mathbf{L}^2(\Omega)$  **compact**?

If  $\mathbb{T}$  is an isomorphism of  $\mathbf{X}_N(\varepsilon)$ , we have

$$\begin{aligned} a(\mathbf{E}, \mathbf{E}') - \omega^2 c(\mathbf{E}, \mathbf{E}') &= \ell(\mathbf{E}'), & \forall \mathbf{E}' \in \mathbf{X}_N(\varepsilon) \\ \Leftrightarrow a(\mathbf{E}, \mathbb{T}\mathbf{E}') - \omega^2 c(\mathbf{E}, \mathbb{T}\mathbf{E}') &= \ell(\mathbb{T}\mathbf{E}'), & \forall \mathbf{E}' \in \mathbf{X}_N(\varepsilon). \end{aligned}$$



The key idea is to construct  $\mathbb{T} \in \mathbf{X}_N(\varepsilon) \rightarrow \mathbf{X}_N(\varepsilon)$  such that  $a(\mathbf{E}, \mathbb{T}\mathbf{E}') = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} (\overline{\mathbb{T}\mathbf{E}'})$  is coercive in  $\mathbf{X}_N(\varepsilon)$ .

If  $\mathbb{T}$  is an isomorphism of  $\mathbf{X}_N(\varepsilon)$ , we have

$$\begin{aligned} a(\mathbf{E}, \mathbf{E}') - \omega^2 c(\mathbf{E}, \mathbf{E}') &= \ell(\mathbf{E}'), & \forall \mathbf{E}' \in \mathbf{X}_N(\varepsilon) \\ \Leftrightarrow a(\mathbf{E}, \mathbb{T}\mathbf{E}') - \omega^2 c(\mathbf{E}, \mathbb{T}\mathbf{E}') &= \ell(\mathbb{T}\mathbf{E}'), & \forall \mathbf{E}' \in \mathbf{X}_N(\varepsilon). \end{aligned}$$



The key idea is to construct  $\mathbb{T} \in \mathbf{X}_N(\varepsilon) \rightarrow \mathbf{X}_N(\varepsilon)$  such that  $a(\mathbf{E}, \mathbb{T}\mathbf{E}') = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} (\overline{\mathbb{T}\mathbf{E}'})$  is coercive in  $\mathbf{X}_N(\varepsilon)$ .

To present the construction, set  $H_{\#}^1(\Omega) := \{\varphi \in H^1(\Omega) \mid \int_{\Omega} \varphi \, dx = 0\}$ .

Introduce the **scalar** operator  $A_{\mu} : H_{\#}^1(\Omega) \rightarrow H_{\#}^1(\Omega)$  such that

$$(A_{\mu}\varphi, \varphi')_{H_{\#}^1(\Omega)} = \int_{\Omega} \mu \nabla \varphi \cdot \nabla \overline{\varphi'} \, dx, \quad \forall \varphi, \varphi' \in H_{\#}^1(\Omega).$$

Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ . We would like to have

$$\mathbf{curl}(\mathbb{T}\mathbf{E}) = \mu \mathbf{curl} \mathbf{E}$$

to get

$$a(\mathbf{E}, \mathbb{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl}(\overline{\mathbb{T}\mathbf{E}}) dx = \int_{\Omega} |\mathbf{curl} \mathbf{E}|^2 dx.$$

But this is impossible in general (take the divergence)!

Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$   
is an isom.



Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

Ok when  $A_{\mu}$  is an isom.

- ② Since  $\operatorname{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

Ok when  $A_{\mu}$   
is an isom.

② Since  $\operatorname{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

③ Introduce  $\varphi \in H_0^1(\Omega)$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in H_0^1(\Omega).$$

Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

- 1 Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

Ok when  $A_{\mu}$  is an isom.

- 2 Since  $\operatorname{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

- 3 Introduce  $\varphi \in H_0^1(\Omega)$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in H_0^1(\Omega).$$

Ok when  $A_{\varepsilon}$  is an isom.

Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

- 1 Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

Ok when  $A_{\mu}$  is an isom.

- 2 Since  $\operatorname{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

- 3 Introduce  $\varphi \in H_0^1(\Omega)$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in H_0^1(\Omega).$$

Ok when  $A_{\varepsilon}$  is an isom.

- 4 Finally, define  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ .

Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

- ② Since  $\operatorname{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

- ③ Introduce  $\varphi \in H_0^1(\Omega)$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in H_0^1(\Omega).$$

👉 Ok when  $A_{\varepsilon}$  is an isom.

- ④ Finally, define  $\mathbb{T}\mathbf{E} := \mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . There holds:

$$a(\mathbf{E}, \mathbb{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} (\overline{\mathbb{T}\mathbf{E}}) dx$$

Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

- ② Since  $\operatorname{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

- ③ Introduce  $\varphi \in H_0^1(\Omega)$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in H_0^1(\Omega).$$

👉 Ok when  $A_{\varepsilon}$  is an isom.

- ④ Finally, define  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . There holds:

$$a(\mathbf{E}, \mathbf{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{u}} dx$$

Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

- ② Since  $\operatorname{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

- ③ Introduce  $\varphi \in H_0^1(\Omega)$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in H_0^1(\Omega).$$

👉 Ok when  $A_{\varepsilon}$  is an isom.

- ④ Finally, define  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . There holds:

$$a(\mathbf{E}, \mathbf{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{u}} dx = \int_{\Omega} \mathbf{curl} \mathbf{E} \cdot \overline{(\mathbf{curl} \mathbf{E} - \nabla \psi)} dx$$

Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

- ② Since  $\operatorname{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

- ③ Introduce  $\varphi \in H_0^1(\Omega)$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in H_0^1(\Omega).$$

👉 Ok when  $A_{\varepsilon}$  is an isom.

- ④ Finally, define  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . There holds:

$$a(\mathbf{E}, \mathbf{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{u}} dx = \int_{\Omega} |\mathbf{curl} \mathbf{E}|^2 dx.$$



Consider  $\mathbf{E} \in \mathbf{X}_N(\varepsilon)$ .

1 Introduce  $\psi \in H^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} = \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

LEMMA. Suppose that

$A_\varepsilon : H_0^1(\Omega) \rightarrow H_0^1(\Omega)$  is an isomorphism

$A_\mu : H_\#^1(\Omega) \rightarrow H_\#^1(\Omega)$  is an isomorphism.

Then, there exists  $\mathbb{T} : \mathbf{X}_N(\varepsilon) \rightarrow \mathbf{X}_N(\varepsilon)$  such that, for all  $\mathbf{E}, \mathbf{E}'$

$$a(\mathbf{E}, \mathbb{T}\mathbf{E}') = a(\mathbb{T}\mathbf{E}, \mathbf{E}') = \int_{\Omega} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{E}'} dx$$

(this implies in particular that  $\mathbb{T}$  is an **isomorphism** of  $\mathbf{X}_N(\varepsilon)$ ).

4 Finally, define  $\mathbb{T}\mathbf{E} := \mathbf{u} - \nabla \varphi \in \mathbf{X}_N(\varepsilon)$ . There holds:

$$a(\mathbf{E}, \mathbb{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{u}} dx = \int_{\Omega} |\mathbf{curl} \mathbf{E}|^2 dx.$$

# Compact embedding and final result

**THEOREM.** Assume that  $A_\varepsilon : H_0^1(\Omega) \rightarrow H_0^1(\Omega)$  is an isomorphism. Then the embedding of  $\mathbf{X}_N(\varepsilon)$  in  $\mathbf{L}^2(\Omega)$  is compact.

**Proof.** 1)  $\operatorname{div}(\varepsilon \mathbf{u}) = 0 \Rightarrow \varepsilon \mathbf{u} = \operatorname{curl} \psi$  with  $\psi \in \mathbf{X}_T(1)$ .

2) Then we get  $\operatorname{curl}(\varepsilon^{-1} \operatorname{curl} \psi) = \operatorname{curl} \mathbf{u}$ .

3) When  $A_\varepsilon : H_0^1(\Omega) \rightarrow H_0^1(\Omega)$  is an isom, there is  $\mathbb{T} : \mathbf{X}_T(1) \rightarrow \mathbf{X}_T(1)$  s.t.

$$\|\operatorname{curl} \psi\|_\Omega^2 = \int_\Omega \varepsilon^{-1} \operatorname{curl} \psi \cdot \operatorname{curl}(\mathbb{T} \psi) dx = \int_\Omega \operatorname{curl} \mathbf{u} \cdot (\mathbb{T} \psi) dx. \quad \square$$

# Compact embedding and final result

**THEOREM.** Assume that  $A_\varepsilon : H_0^1(\Omega) \rightarrow H_0^1(\Omega)$  is an isomorphism. Then the embedding of  $\mathbf{X}_N(\varepsilon)$  in  $\mathbf{L}^2(\Omega)$  is **compact**.

**Proof.** 1)  $\operatorname{div}(\varepsilon \mathbf{u}) = 0 \Rightarrow \varepsilon \mathbf{u} = \operatorname{curl} \psi$  with  $\psi \in \mathbf{X}_T(1)$ .

2) Then we get  $\operatorname{curl}(\varepsilon^{-1} \operatorname{curl} \psi) = \operatorname{curl} \mathbf{u}$ .

3) When  $A_\varepsilon : H_0^1(\Omega) \rightarrow H_0^1(\Omega)$  is an isom, there is  $\mathbb{T} : \mathbf{X}_T(1) \rightarrow \mathbf{X}_T(1)$  s.t.

$$\|\operatorname{curl} \psi\|_\Omega^2 = \int_\Omega \varepsilon^{-1} \operatorname{curl} \psi \cdot \operatorname{curl}(\mathbb{T}\psi) dx = \int_\Omega \operatorname{curl} \mathbf{u} \cdot (\mathbb{T}\psi) dx. \quad \square$$

► This yields the final result (**Bonnet-BenDhia, Chesnel, Ciarlet 14'**):

**THEOREM.** Suppose that

$A_\varepsilon : H_0^1(\Omega) \rightarrow H_0^1(\Omega)$  is an isomorphism

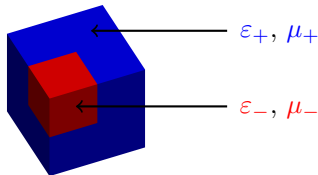
$A_\mu : H_{\#}^1(\Omega) \rightarrow H_{\#}^1(\Omega)$  is an isomorphism.

Then, the problem for the **electric field** is **well-posed** for all  $\omega \in \mathbb{C} \setminus \mathcal{S}$  where  $\mathcal{S}$  is a discrete (or empty) set of  $\mathbb{C}$ .

# Comments and example

- ▶ We have a similar result for the **magnetic problem**.
- ▶ These results extend to:
  - situations where  $A_\varepsilon, A_\mu$  are Fredholm of index zero with a **non zero kernel**;
  - situations where  $\Omega$  is **not simply connected**/ $\partial\Omega$  is **not connected**.

EXAMPLE OF THE FICHERA'S CUBE:



PROPOSITION. Assume that

$$\frac{\varepsilon_-}{\varepsilon_+} \notin \left[-7; -\frac{1}{7}\right] \quad \text{and} \quad \frac{\mu_-}{\mu_+} \notin \left[-7; -\frac{1}{7}\right]. \quad *$$

Then, the problems for the **electric** and **magnetic** fields are **well-posed** for all  $\omega \in \mathbb{C} \setminus \mathcal{S}$  where  $\mathcal{S}$  is a discrete (or empty) set of  $\mathbb{C}$ .

1 Positive coefficients

2 Sign-changing coefficients - non critical  $\varepsilon, \mu$

3 Scalar problems

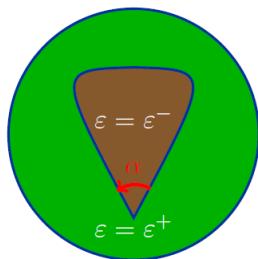
The properties of the **Maxwell's problem** depend on the features of the **scalar** operators  $A_\varepsilon, A_\mu$ . Let us study them.

4 Sign-changing coefficients - critical  $\varepsilon$ , non critical  $\mu$

5 Sign-changing coefficients - critical  $\varepsilon, \mu$

## 2D scalar problem - general picture

- Recall that  $(A_\varepsilon \varphi, \varphi')_{H_0^1(\Omega)} = \int_\Omega \varepsilon \nabla \varphi \cdot \nabla \overline{\varphi'} dx, \quad \forall \varphi, \varphi' \in H_0^1(\Omega).$



Features of  $A_\varepsilon$  depend on the **angle**  $\alpha$  and on the **contrast**  $\kappa := \varepsilon_- / \varepsilon_+$ :

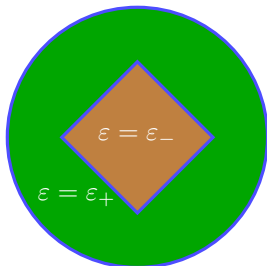


- If  $\kappa \notin I_c := \left[ -\frac{2\pi-\alpha}{\alpha}; -\frac{\alpha}{2\pi-\alpha} \right]$ ,  $A_\varepsilon$  is **Fredholm of index zero**.
- If  $\kappa \in I_c$ ,  $A_\varepsilon$  is **not Fredholm** (its range is not close in  $H_0^1(\Omega)$ ).

- We call  $I_c$  the **critical interval**.

## 2D scalar problem - general picture

- Recall that  $(A_\varepsilon \varphi, \varphi')_{H_0^1(\Omega)} = \int_\Omega \varepsilon \nabla \varphi \cdot \nabla \overline{\varphi'} dx$ ,  $\forall \varphi, \varphi' \in H_0^1(\Omega)$ .



For  $\alpha = \pi/2$ ,  
 $I_c = [-3; -1/3]$ .

Features of  $A_\varepsilon$  depend on the **angle**  $\alpha$  and on the **contrast**  $\kappa := \varepsilon_- / \varepsilon_+$ :



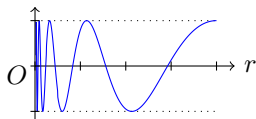
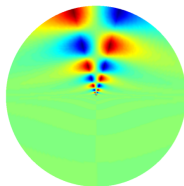
- If  $\kappa \notin I_c := \left[ -\frac{2\pi-\alpha}{\alpha}; -\frac{\alpha}{2\pi-\alpha} \right]$ ,  $A_\varepsilon$  is **Fredholm of index zero**.
- If  $\kappa \in I_c$ ,  $A_\varepsilon$  is **not Fredholm** (its range is not close in  $H_0^1(\Omega)$ ).

- We call  $I_c$  the **critical interval**.

## 2D scalar problem - inside the critical interval

- For  $\kappa \in I_c \setminus \{-1\}$ , Fredholmness in  $H_0^1(\Omega)$  is lost due to the existence of **propagating singularities**:

$$\begin{cases} s^\pm(x) = r^{\pm i\eta} \Phi(\theta), \quad \eta \in \mathbb{R} \setminus \{0\} \\ \operatorname{div}(\varepsilon \nabla s^\pm) = 0. \end{cases}$$



We have  $s^\pm \in L^2(\Omega)$  but  $s^\pm \notin H^1(\Omega)$ .

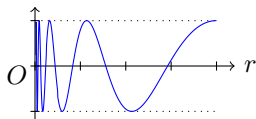
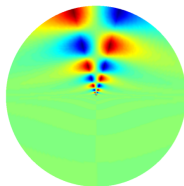
Energy accumulates at the corner,  $s^\pm$  are called **black-hole** singularities.



## 2D scalar problem - inside the critical interval

- ▶ For  $\kappa \in I_c \setminus \{-1\}$ , Fredholmness in  $H_0^1(\Omega)$  is lost due to the existence of **propagating singularities**:

$$\begin{cases} s^\pm(x) = r^{\pm i\eta} \Phi(\theta), \quad \eta \in \mathbb{R} \setminus \{0\} \\ \operatorname{div}(\varepsilon \nabla s^\pm) = 0. \end{cases}$$



We have  $s^\pm \in L^2(\Omega)$  but  $s^\pm \notin H^1(\Omega)$ .

Energy accumulates at the corner,  $s^\pm$  are called **black-hole** singularities.

- ▶ To recover Fredholmness, we have to **modify the functional framework** and take into account these singularities:



The corner is like infinity for scattering problems: a **radiation condition** must be imposed to select the **outgoing behaviour**  $s^{\text{out}}$ .

## 2D scalar problem - inside the critical interval

---

- ▶ We incorporate the radiation condition in the space by setting

$$\mathbf{V}^{\text{out}} := \text{span}(\mathfrak{s}^{\text{out}}) \oplus \mathbf{V}_{-\beta}^1(\Omega)$$

where  $\left\{ \begin{array}{l} \mathfrak{s}^{\text{out}} := \chi s^{\text{out}} \text{ (localization);} \\ \mathbf{V}_{-\beta}^1(\Omega) \text{ is a weighted Sobolev space of functions which decay at } O \end{array} \right.$

- ▶ Define the operator  $A_\varepsilon^{\text{out}} : \mathbf{V}^{\text{out}} \rightarrow \mathbf{V}_\beta^1(\Omega)^*$  such that

$$\langle A_\varepsilon^{\text{out}} \varphi, \psi \rangle = \int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \bar{\psi} \, dx$$

## 2D scalar problem - inside the critical interval

---

- ▶ We incorporate the radiation condition in the space by setting

$$\mathbf{V}^{\text{out}} := \text{span}(\mathfrak{s}^{\text{out}}) \oplus \mathbf{V}_{-\beta}^1(\Omega)$$

where  $\left\{ \begin{array}{l} \mathfrak{s}^{\text{out}} := \chi \mathfrak{s}^{\text{out}} \text{ (localization);} \\ \mathbf{V}_{-\beta}^1(\Omega) \text{ is a weighted Sobolev space of functions which decay at } O \end{array} \right.$

- ▶ Define the operator  $A_\varepsilon^{\text{out}} : \mathbf{V}^{\text{out}} \rightarrow \mathbf{V}_\beta^1(\Omega)^*$  such that

$$\langle A_\varepsilon^{\text{out}} \varphi, \psi \rangle = \int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \bar{\psi} \, dx$$

where, for all  $\varphi = c_\varphi \mathfrak{s}^{\text{out}} + \tilde{\varphi}$ ,  $\psi \in \mathbf{V}_\beta^1(\Omega)$ ,

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \bar{\psi} \, dx := - \int_{\Omega} c_\varphi \text{div}(\varepsilon \nabla \mathfrak{s}^{\text{out}}) \bar{\psi} \, dx + \int_{\Omega} \varepsilon \nabla \tilde{\varphi} \cdot \nabla \bar{\psi} \, dx.$$

## 2D scalar problem - inside the critical interval

- ▶ We incorporate the radiation condition in the space by setting

$$\mathbf{V}^{\text{out}} := \text{span}(\mathfrak{s}^{\text{out}}) \oplus \mathbf{V}_{-\beta}^1(\Omega)$$

where  $\left\{ \begin{array}{l} \mathfrak{s}^{\text{out}} := \chi s^{\text{out}} \text{ (localization);} \\ \mathbf{V}_{-\beta}^1(\Omega) \text{ is a weighted Sobolev space of functions which decay at } O \end{array} \right.$

- ▶ Define the operator  $A_\varepsilon^{\text{out}} : \mathbf{V}^{\text{out}} \rightarrow \mathbf{V}_\beta^1(\Omega)^*$  such that

$$\langle A_\varepsilon^{\text{out}} \varphi, \psi \rangle = \int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \bar{\psi} \, dx$$

where, for all  $\varphi = c_\varphi \mathfrak{s}^{\text{out}} + \tilde{\varphi}$ ,  $\psi \in \mathbf{V}_\beta^1(\Omega)$ ,

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \bar{\psi} \, dx := - \int_{\Omega} c_\varphi \text{div}(\varepsilon \nabla \mathfrak{s}^{\text{out}}) \bar{\psi} \, dx + \int_{\Omega} \varepsilon \nabla \tilde{\varphi} \cdot \nabla \bar{\psi} \, dx.$$

**THEOREM:** Assume that  $\kappa \in I_c \setminus \{-1\}$ . Then the operator  $A_\varepsilon^{\text{out}}$  is Fredholm of index zero. (Bonnet-BenDhia, Chesnel, Claeys 13')

Tools of the proof. Kondratiev approach (Mellin transform) (Kondratiev 67) + spaces with detached asymptotics (Nazarov, Plamenevski 94).  $\square$

## 3D scalar problem

---

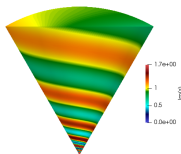
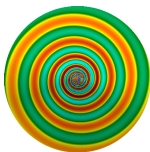
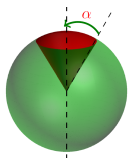
- ▶ Consider the **conical tip**, the simplest singular geometry in 3D. Now **propagating singularities** are of the form

$$s^\pm(x) = r^{\pm i\eta - 1/2} \Phi(\theta, \psi), \quad \eta \in \mathbb{R} \setminus \{0\}$$

## 3D scalar problem

- Consider the **conical tip**, the simplest singular geometry in 3D. Now **propagating singularities** are of the form

$$s^\pm(x) = r^{\pm i\eta - 1/2} \Phi(\theta, \psi), \quad \eta \in \mathbb{R} \setminus \{0\}$$

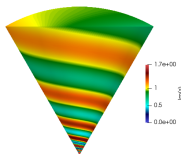
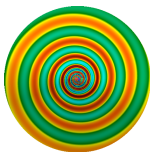
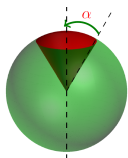


For the **circular conical tip**, they exist iff  $\kappa \in (-1; -a_\alpha)$  (but not for  $\kappa < -1$ !) for a certain explicit  $a_\alpha$  (Li, Shipman 19, Li, Perfekt, Shipman 22).

## 3D scalar problem

- Consider the **conical tip**, the simplest singular geometry in 3D. Now **propagating singularities** are of the form

$$s^\pm(x) = r^{\pm i\eta - 1/2} \Phi(\theta, \psi), \quad \eta \in \mathbb{R} \setminus \{0\}$$



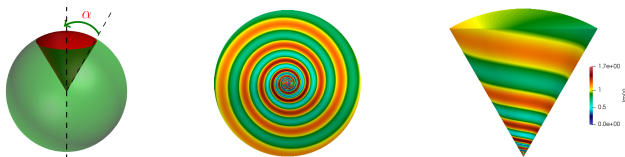
For the **circular conical tip**, they exist iff  $\kappa \in (-1; -a_\alpha)$  (but not for  $\kappa < -1!$ ) for a certain explicit  $a_\alpha$  (Li, Shipman 19, Li, Perfekt, Shipman 22).

- Contrary to 2D, in 3D we can have  $N > 1$  singularities  $s_1^\pm, \dots, s_N^\pm$ . Moreover  $N \rightarrow +\infty$  when  $\kappa \rightarrow -1^+$  or  $\alpha \rightarrow 0^+$ .

## 3D scalar problem

- Consider the **conical tip**, the simplest singular geometry in 3D. Now **propagating singularities** are of the form

$$s^\pm(x) = r^{\pm i\eta - 1/2} \Phi(\theta, \psi), \quad \eta \in \mathbb{R} \setminus \{0\}$$



For the **circular conical tip**, they exist iff  $\kappa \in (-1; -a_\alpha)$  (but not for  $\kappa < -1!$ ) for a certain explicit  $a_\alpha$  (Li, Shipman 19, Li, Perfekt, Shipman 22).

- Contrary to 2D, in 3D we can have  $N > 1$  singularities  $s_1^\pm, \dots, s_N^\pm$ . Moreover  $N \rightarrow +\infty$  when  $\kappa \rightarrow -1^+$  or  $\alpha \rightarrow 0^+$ .

The solution to  $\operatorname{div}(\varepsilon \nabla \varphi) = f$  must be searched in



$$\left| \begin{array}{l} H_0^1(\Omega) \quad \text{when } \kappa \notin [-1; -a_\alpha]; \\ V^{\text{out}} := \operatorname{span}(\mathfrak{s}_1^{\text{out}}, \dots, \mathfrak{s}_N^{\text{out}}) \oplus V_{-\beta}^1(\Omega) \quad \text{when } \kappa \in (-1; -a_\alpha). \end{array} \right.$$



## Remark

---

► **Propagating singularities** are exactly the ones responsible for the existence of essential spectrum for the **Neumann-Poincaré** operator in non smooth domains:

→ Li, Shipman 19, Li, Perfekt, Shipman 22, De León-Contreras, Perfekt 22,...

- 1 Positive coefficients
- 2 Sign-changing coefficients - non critical  $\varepsilon, \mu$
- 3 Scalar problems
- 4 Sign-changing coefficients - critical  $\varepsilon$ , non critical  $\mu$

How to address the **Maxwell's problem** when one of the two scalars problems is well-posed only in the **new framework**?

- 5 Sign-changing coefficients - critical  $\varepsilon, \mu$

# A new framework for electric fields

---

- ▶ Assume that the negative material has a **conical tip** and that there are  $N$  propagating singularities  $\mathfrak{s}_1^{\text{out}}, \dots, \mathfrak{s}_N^{\text{out}}$  for the operator  $\text{div}(\varepsilon \nabla \cdot)$ .
- ▶ Assume that  $\mu$  is such that  $A_\mu : H_{\#}^1(\Omega) \rightarrow H_{\#}^1(\Omega)$  is an isomorphism.
- ▶ Instead of working in  $\mathbf{X}_N(\varepsilon)$ , we look for a solution in

$$\mathbf{X}_N^{\text{out}}(\varepsilon) := \left\{ \mathbf{u} = \sum_{n=1}^N c_n \nabla \mathfrak{s}_n^{\text{out}} + \tilde{\mathbf{u}}, c_n \in \mathbb{C}, \tilde{\mathbf{u}} \in \mathbf{V}_{-\beta}^0(\Omega) \mid \right. \\ \left. \text{curl } \mathbf{u} \in \mathbf{L}^2(\Omega), \text{div}(\varepsilon \mathbf{u}) = 0 \text{ in } \Omega \text{ and } \mathbf{u} \times \nu = 0 \text{ on } \partial\Omega \right\}$$

Here  $\mathbf{V}_{-\beta}^0(\Omega) := \{ \mathbf{u} \mid r^{-\beta} \mathbf{u} \in \mathbf{L}^2(\Omega) \}$ ,  $\beta > 0$ .

# A new framework for electric fields

- ▶ Assume that the negative material has a **conical tip** and that there are  $N$  propagating singularities  $\mathfrak{s}_1^{\text{out}}, \dots, \mathfrak{s}_N^{\text{out}}$  for the operator  $\text{div}(\varepsilon \nabla \cdot)$ .
- ▶ Assume that  $\mu$  is such that  $A_\mu : H_{\#}^1(\Omega) \rightarrow H_{\#}^1(\Omega)$  is an isomorphism.
- ▶ Instead of working in  $\mathbf{X}_N(\varepsilon)$ , we look for a solution in

$$\mathbf{X}_N^{\text{out}}(\varepsilon) := \left\{ \mathbf{u} = \sum_{n=1}^N c_n \nabla \mathfrak{s}_n^{\text{out}} + \tilde{\mathbf{u}}, c_n \in \mathbb{C}, \tilde{\mathbf{u}} \in \mathbf{V}_{-\beta}^0(\Omega) \mid \right. \\ \left. \text{curl } \mathbf{u} \in \mathbf{L}^2(\Omega), \text{div}(\varepsilon \mathbf{u}) = 0 \text{ in } \Omega \text{ and } \mathbf{u} \times \nu = 0 \text{ on } \partial\Omega \right\}$$

Here  $\mathbf{V}_{-\beta}^0(\Omega) := \{ \mathbf{u} \mid r^{-\beta} \mathbf{u} \in \mathbf{L}^2(\Omega) \}$ ,  $\beta > 0$ .

- ▶ Note that  $\mathbf{X}_N^{\text{out}}(\varepsilon) \not\subset \mathbf{L}^2(\Omega)$  (**infinite energy!**). More precisely, the **fields are singular** but **the curls are not**.

PROPOSITION: When  $A_\varepsilon^{\text{out}} : \mathbf{V}^{\text{out}} \rightarrow \mathbf{V}_\beta^1(\Omega)^*$  is an isomorphism, we have

$$|\mathbf{c}| + \|\tilde{\mathbf{u}}\|_{\mathbf{V}_{-\beta}^0(\Omega)} \leq C \|\text{curl } \mathbf{u}\|_\Omega, \quad \forall \mathbf{u} \in \mathbf{X}_N^{\text{out}}(\varepsilon).$$

Thus  $\mathbf{X}_N^{\text{out}}(\varepsilon)$  endowed with  $(\text{curl } \cdot, \text{curl } \cdot)_\Omega$  is a **Hilbert space**.

# A new functional framework

---

- ▶ Then we consider the problem

$$\left( \mathcal{P}_{\mathbf{X}^{\text{out}}} \right) \left| \begin{array}{l} \text{Find } \mathbf{u} \in \mathbf{X}_N^{\text{out}}(\varepsilon) \text{ such that for all } \mathbf{v} \in \mathbf{X}_N^{\text{out}}(\varepsilon) \\ \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{u} \cdot \mathbf{curl} \bar{\mathbf{v}} \, dx - \omega^2 \int_{\Omega} \varepsilon \mathbf{u} \cdot \bar{\mathbf{v}} \, dx = i\omega \int_{\Omega} \mathbf{J} \cdot \bar{\mathbf{v}} \, dx \end{array} \right.$$

$$\text{with } \int_{\Omega} \varepsilon \mathbf{u} \cdot \bar{\mathbf{v}} \, dx = c_{\mathbf{u}} \bar{c}_{\mathbf{v}} \int_{\Omega} \text{div}(\varepsilon \nabla \bar{s}^+) s^+ \, dx + \int_{\Omega} \varepsilon \tilde{\mathbf{u}} \cdot \bar{\tilde{\mathbf{v}}} \, dx.$$

# A new functional framework

---

- ▶ Then we consider the problem

$$\left( \mathcal{P}_{\mathbf{X}^{\text{out}}} \right) \left| \begin{array}{l} \text{Find } \mathbf{u} \in \mathbf{X}_N^{\text{out}}(\varepsilon) \text{ such that for all } \mathbf{v} \in \mathbf{X}_N^{\text{out}}(\varepsilon) \\ \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{u} \cdot \mathbf{curl} \bar{\mathbf{v}} \, dx - \omega^2 \int_{\Omega} \varepsilon \mathbf{u} \cdot \bar{\mathbf{v}} \, dx = i\omega \int_{\Omega} \mathbf{J} \cdot \bar{\mathbf{v}} \, dx \end{array} \right.$$

$$\text{with } \int_{\Omega} \varepsilon \mathbf{u} \cdot \bar{\mathbf{v}} \, dx = c_{\mathbf{u}} \bar{c}_{\mathbf{v}} \int_{\Omega} \text{div}(\varepsilon \nabla \bar{s}^+) s^+ \, dx + \int_{\Omega} \varepsilon \tilde{\mathbf{u}} \cdot \bar{\tilde{\mathbf{v}}} \, dx.$$

PROPOSITION: When  $A_{\varepsilon}^{\text{out}} : \mathbf{V}^{\text{out}} \rightarrow \mathbf{V}_{\beta}^1(\Omega)^*$  is an isomorphism,  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}^{\text{out}}})$  iff  $\mathbf{E}$  solves the initial problem.

# A new functional framework

- ▶ Then we consider the problem

$$\left( \mathcal{P}_{\mathbf{X}^{\text{out}}} \right) \left| \begin{array}{l} \text{Find } \mathbf{u} \in \mathbf{X}_N^{\text{out}}(\varepsilon) \text{ such that for all } \mathbf{v} \in \mathbf{X}_N^{\text{out}}(\varepsilon) \\ \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{u} \cdot \mathbf{curl} \bar{\mathbf{v}} \, dx - \omega^2 \int_{\Omega} \varepsilon \mathbf{u} \cdot \bar{\mathbf{v}} \, dx = i\omega \int_{\Omega} \mathbf{J} \cdot \bar{\mathbf{v}} \, dx \end{array} \right.$$

$$\text{with } \int_{\Omega} \varepsilon \mathbf{u} \cdot \bar{\mathbf{v}} \, dx = c_{\mathbf{u}} \bar{c}_{\mathbf{v}} \int_{\Omega} \text{div}(\varepsilon \nabla \bar{s}^+) s^+ \, dx + \int_{\Omega} \varepsilon \tilde{\mathbf{u}} \cdot \bar{\tilde{\mathbf{v}}} \, dx.$$

PROPOSITION: When  $A_{\varepsilon}^{\text{out}} : V^{\text{out}} \rightarrow V_{\beta}^1(\Omega)^*$  is an isomorphism,  $\mathbf{E}$  solves  $(\mathcal{P}_{\mathbf{X}^{\text{out}}})$  iff  $\mathbf{E}$  solves the initial problem.

- ▶ To study  $(\mathcal{P}_{\mathbf{X}^{\text{out}}})$ , next we construct a  $\mathbb{T}$ -coercivity operator in  $\mathbf{X}_N^{\text{out}}(\varepsilon)$ .

## T-coercivity in $\mathbf{X}_N^{\text{out}}(\varepsilon)$

---

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ .

① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

② Since  $\text{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$



## T-coercivity in $\mathbf{X}_N^{\text{out}}(\varepsilon)$

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ .

① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

Ok when  $A_{\mu}$   
is an isom.

② Since  $\text{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Additionally, we can prove that  $\mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  for some  $\beta > 0$ .

## T-coercivity in $\mathbf{X}_N^{\text{out}}(\varepsilon)$

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

- ② Since  $\text{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Additionally, we can prove that  $\mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  for some  $\beta > 0$ .

- ③ Introduce  $\varphi \in V^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$A_{\varepsilon}^{\text{out}} \varphi = -\text{div}(\varepsilon \mathbf{u}).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

## T-coercivity in $\mathbf{X}_N^{\text{out}}(\varepsilon)$

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

- ② Since  $\text{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Additionally, we can prove that  $\mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  for some  $\beta > 0$ .

- ③ Introduce  $\varphi \in V^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$A_{\varepsilon}^{\text{out}} \varphi = -\text{div}(\varepsilon \mathbf{u}).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

- ④ Finally, define  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi$ . There holds:

## $\mathbb{T}$ -coercivity in $\mathbf{X}_N^{\text{out}}(\varepsilon)$

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

- ② Since  $\text{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Additionally, we can prove that  $\mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  for some  $\beta > 0$ .

- ③ Introduce  $\varphi \in V^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$A_{\varepsilon}^{\text{out}} \varphi = -\text{div}(\varepsilon \mathbf{u}).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

- ④ Finally, define  $\mathbb{T}\mathbf{E} := \mathbf{u} - \nabla \varphi$ . There holds:

$$a(\mathbf{E}, \mathbb{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl}(\overline{\mathbb{T}\mathbf{E}}) dx$$

## $\mathbb{T}$ -coercivity in $\mathbf{X}_N^{\text{out}}(\varepsilon)$

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

- ② Since  $\text{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Additionally, we can prove that  $\mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  for some  $\beta > 0$ .

- ③ Introduce  $\varphi \in \mathbf{V}^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$A_{\varepsilon}^{\text{out}} \varphi = -\text{div}(\varepsilon \mathbf{u}).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

- ④ Finally, define  $\mathbb{T}\mathbf{E} := \mathbf{u} - \nabla \varphi$ . There holds:

$$a(\mathbf{E}, \mathbb{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{u}} dx$$

## T-coercivity in $\mathbf{X}_N^{\text{out}}(\varepsilon)$

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

- ② Since  $\text{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Additionally, we can prove that  $\mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  for some  $\beta > 0$ .

- ③ Introduce  $\varphi \in V^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$A_{\varepsilon}^{\text{out}} \varphi = -\text{div}(\varepsilon \mathbf{u}).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

- ④ Finally, define  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi$ . There holds:

$$a(\mathbf{E}, \mathbf{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{u}} dx = \int_{\Omega} \mathbf{curl} \mathbf{E} \cdot (\overline{\mathbf{curl} \mathbf{E} - \nabla \psi}) dx$$

## $\mathbb{T}$ -coercivity in $\mathbf{X}_N^{\text{out}}(\varepsilon)$

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ .

- ① Introduce  $\psi \in H_{\#}^1(\Omega)$  such that  $\mathbf{curl} \mathbf{E} - \nabla \psi \in \mathbf{X}_T(\mu)$ . To proceed, solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in H_{\#}^1(\Omega).$$

👉 Ok when  $A_{\mu}$  is an isom.

- ② Since  $\text{div}(\mu(\mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , there is  $\mathbf{u} \in \mathbf{X}_N(1)$  such that

$$\mathbf{curl} \mathbf{u} = \mu(\mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Additionally, we can prove that  $\mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  for some  $\beta > 0$ .

- ③ Introduce  $\varphi \in \mathbf{V}^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$A_{\varepsilon}^{\text{out}} \varphi = -\text{div}(\varepsilon \mathbf{u}).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

- ④ Finally, define  $\mathbb{T}\mathbf{E} := \mathbf{u} - \nabla \varphi$ . There holds:

$$a(\mathbf{E}, \mathbb{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{u}} dx = \int_{\Omega} |\mathbf{curl} \mathbf{E}|^2 dx.$$

# $\mathbb{T}$ -coercivity in $\mathbf{X}_N^{\text{out}}(\varepsilon)$

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out}}(\varepsilon)$ .

LEMMA. When

$A_\varepsilon^{\text{out}} : \mathbf{V}^{\text{out}} \rightarrow \mathbf{V}_\beta^1(\Omega)^*$  is an isomorphism

$A_\mu : \mathbf{H}_\#^1(\Omega) \rightarrow \mathbf{H}_\#^1(\Omega)$  is an isomorphism,

there exists  $\mathbb{T} : \mathbf{X}_N^{\text{out}}(\varepsilon) \rightarrow \mathbf{X}_N^{\text{out}}(\varepsilon)$  such that, for all  $\mathbf{E}, \mathbf{E}'$

$$a(\mathbf{E}, \mathbb{T}\mathbf{E}') = a(\mathbb{T}\mathbf{E}, \mathbf{E}') = \int_{\Omega} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{E}'} dx$$

(this implies in particular that  $\mathbb{T}$  is an **isomorphism** of  $\mathbf{X}_N^{\text{out}}(\varepsilon)$ ).

is an isom.

4 Finally, define  $\mathbb{T}\mathbf{E} := \mathbf{u} - \nabla\varphi$ . There holds:

$$a(\mathbf{E}, \mathbb{T}\mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{u}} dx = \int_{\Omega} |\mathbf{curl} \mathbf{E}|^2 dx.$$



# Compact embedding and final result

THEOREM. Assume that  $A_\varepsilon^{\text{out}} : \mathbf{V}^{\text{out}} \rightarrow \mathbf{V}_\beta^1(\Omega)^*$  is an isomorphism. If  $(\mathbf{u}_k = \sum_{n=1}^N c_k^n \nabla \mathfrak{s}_n^{\text{out}} + \tilde{\mathbf{u}}_k)$  is bounded in  $\mathbf{X}_N^{\text{out}}(\varepsilon)$ , up to a subsequence,  $(\mathbf{c}_k)$ ,  $(\tilde{\mathbf{u}}_k)$  converge in  $\mathbb{C}^N$ ,  $\mathbf{V}_{-\beta}^0(\Omega)$  respectively.

# Compact embedding and final result

**THEOREM.** Assume that  $A_\varepsilon^{\text{out}} : \mathbf{V}^{\text{out}} \rightarrow \mathbf{V}_\beta^1(\Omega)^*$  is an isomorphism. If  $(\mathbf{u}_k = \sum_{n=1}^N c_k^n \nabla \mathbf{s}_n^{\text{out}} + \tilde{\mathbf{u}}_k)$  is bounded in  $\mathbf{X}_N^{\text{out}}(\varepsilon)$ , up to a subsequence,  $(\mathbf{c}_k), (\tilde{\mathbf{u}}_k)$  converge in  $\mathbb{C}^N, \mathbf{V}_{-\beta}^0(\Omega)$  respectively.

**Proof.** 1) Helmholtz decompo.  $\Rightarrow \mathbf{u}_k = \sum_{n=1}^N c_k^n \nabla \mathbf{s}_n^{\text{out}} + \nabla \varphi_k + \mathbf{curl} \psi_k$ .  
2)  $-\Delta \psi_k = \mathbf{curl} \mathbf{curl} \psi_k = \mathbf{curl} \mathbf{u}_k \Rightarrow (\mathbf{curl} \mathbf{u}_k)$  converges in  $\mathbf{V}_{-\beta}^0(\Omega)$ .  
3) Use that  $\text{div}(\varepsilon \nabla \mathbf{u}_k) = 0$  and that  $A_\varepsilon^{\text{out}}$  is an isomorphism.  $\square$

# Compact embedding and final result

**THEOREM.** Assume that  $A_\varepsilon^{\text{out}} : \mathbf{V}^{\text{out}} \rightarrow \mathbf{V}_\beta^1(\Omega)^*$  is an isomorphism. If  $(\mathbf{u}_k = \sum_{n=1}^N c_k^n \nabla \mathbf{s}_n^{\text{out}} + \tilde{\mathbf{u}}_k)$  is bounded in  $\mathbf{X}_N^{\text{out}}(\varepsilon)$ , up to a subsequence,  $(\mathbf{c}_k)$ ,  $(\tilde{\mathbf{u}}_k)$  converge in  $\mathbb{C}^N$ ,  $\mathbf{V}_{-\beta}^0(\Omega)$  respectively.

**Proof.** 1) Helmholtz decompo.  $\Rightarrow \mathbf{u}_k = \sum_{n=1}^N c_k^n \nabla \mathbf{s}_n^{\text{out}} + \nabla \varphi_k + \mathbf{curl} \psi_k$ .  
2)  $-\Delta \psi_k = \mathbf{curl} \mathbf{curl} \psi_k = \mathbf{curl} \mathbf{u}_k \Rightarrow (\mathbf{curl} \mathbf{u}_k)$  converges in  $\mathbf{V}_{-\beta}^0(\Omega)$ .  
3) Use that  $\text{div}(\varepsilon \nabla \mathbf{u}_k) = 0$  and that  $A_\varepsilon^{\text{out}}$  is an isomorphism.  $\square$

► This yields the final result (Bonnet-BenDhia, Chesnel, Rihani 22’):

**THEOREM.** Suppose that

$A_\varepsilon^{\text{out}} : \mathbf{V}^{\text{out}} \rightarrow \mathbf{V}_\beta^1(\Omega)^*$  is an isomorphism

$A_\mu : \mathbf{H}_\#^1(\Omega) \rightarrow \mathbf{H}_\#^1(\Omega)$  is an isomorphism.

Then, the problem  $(\mathcal{P}_{\mathbf{X}^{\text{out}}})$  and the initial problem are well-posed for all  $\omega \in \mathbb{C} \setminus \mathcal{S}$  where  $\mathcal{S}$  is a discrete (or empty) set of  $\mathbb{C}$ .

- 1 Positive coefficients
- 2 Sign-changing coefficients - non critical  $\varepsilon, \mu$
- 3 Scalar problems
- 4 Sign-changing coefficients - critical  $\varepsilon$ , non critical  $\mu$
- 5 Sign-changing coefficients - critical  $\varepsilon, \mu$

How to address the **Maxwell's problem** when **the two** scalar problems are well-posed only in the **new framework**?

# A new framework for electric fields

---

- ▶ Assume that the negative material has a **conical tip** and that there are
  - |  $N$  propagating singularities  $\mathfrak{s}_1^{\varepsilon,\text{out}}, \dots, \mathfrak{s}_N^{\varepsilon,\text{out}}$  for the operator  $\text{div}(\varepsilon \nabla \cdot)$ ;
  - |  $M$  propagating singularities  $\mathfrak{s}_1^{\mu,\text{out}}, \dots, \mathfrak{s}_M^{\mu,\text{out}}$  for the operator  $\text{div}(\mu \nabla \cdot)$ .

# A new framework for electric fields

---

- ▶ Assume that the negative material has a **conical tip** and that there are
  - $N$  propagating singularities  $\mathfrak{s}_1^{\varepsilon,\text{out}}, \dots, \mathfrak{s}_N^{\varepsilon,\text{out}}$  for the  $\varepsilon$ -field
  - $M$  propagating singularities  $\mathfrak{s}_1^{\mu,\text{out}}, \dots, \mathfrak{s}_M^{\mu,\text{out}}$  for the  $\mu$ -field

Depending on  $\kappa_\varepsilon, \kappa_\mu$ ,  
we can have  $N \neq M$ .

# A new framework for electric fields

---

- ▶ Assume that the negative material has a **conical tip** and that there are
  - |  $N$  propagating singularities  $\mathfrak{s}_1^{\varepsilon,\text{out}}, \dots, \mathfrak{s}_N^{\varepsilon,\text{out}}$  for the operator  $\text{div}(\varepsilon \nabla \cdot)$ ;
  - |  $M$  propagating singularities  $\mathfrak{s}_1^{\mu,\text{out}}, \dots, \mathfrak{s}_M^{\mu,\text{out}}$  for the operator  $\text{div}(\mu \nabla \cdot)$ .

# A new framework for electric fields

- ▶ Assume that the negative material has a **conical tip** and that there are
  - |  $N$  propagating singularities  $\mathfrak{s}_1^{\varepsilon,\text{out}}, \dots, \mathfrak{s}_N^{\varepsilon,\text{out}}$  for the operator  $\text{div}(\varepsilon \nabla \cdot)$ ;
  - |  $M$  propagating singularities  $\mathfrak{s}_1^{\mu,\text{out}}, \dots, \mathfrak{s}_M^{\mu,\text{out}}$  for the operator  $\text{div}(\mu \nabla \cdot)$ .
- ▶ Instead of working in  $\mathbf{X}_N(\varepsilon)$ ,  $\mathbf{X}_N^{\text{out}}(\varepsilon)$ , we look for an electric field in

$$\mathcal{X}_N^{\text{out}}(\varepsilon) := \left\{ \mathbf{u} = \sum_{n=1}^N c_n^\varepsilon \nabla \mathfrak{s}_n^{\varepsilon,\text{out}} + \tilde{\mathbf{u}} \mid \text{curl } \mathbf{u} = \sum_{m=1}^M c_m^\mu \mu \nabla \mathfrak{s}_m^{\mu,\text{out}} + \psi_{\mathbf{u}}, \right. \\ \left. \text{div}(\varepsilon \mathbf{u}) = 0 \text{ in } \Omega, \mathbf{u} \times \boldsymbol{\nu} = 0 \text{ on } \partial\Omega, c_n^\varepsilon, c_m^\mu \in \mathbb{C}, \tilde{\mathbf{u}}, \psi_{\mathbf{u}} \in \mathbf{V}_{-\beta}^0(\Omega) \right\}$$



Note that **both** the **fields** and the **curl of fields** are **singular**.



# A new framework for electric fields

- Assume that the negative material has a **conical tip** and that there are
  - $N$  propagating singularities  $\mathfrak{s}_1^{\varepsilon, \text{out}}, \dots, \mathfrak{s}_N^{\varepsilon, \text{out}}$  for the operator  $\text{div}(\varepsilon \nabla \cdot)$ ;
  - $M$  propagating singularities  $\mathfrak{s}_1^{\mu, \text{out}}, \dots, \mathfrak{s}_M^{\mu, \text{out}}$  for the operator  $\text{div}(\mu \nabla \cdot)$ .
- Instead of working in  $\mathbf{X}_N(\varepsilon)$ ,  $\mathbf{X}_N^{\text{out}}(\varepsilon)$ , we look for an electric field in

$$\mathcal{X}_N^{\text{out}}(\varepsilon) := \left\{ \mathbf{u} = \sum_{n=1}^N c_n^\varepsilon \nabla \mathfrak{s}_n^{\varepsilon, \text{out}} + \tilde{\mathbf{u}} \mid \mathbf{curl} \mathbf{u} = \sum_{m=1}^M c_m^\mu \mu \nabla \mathfrak{s}_m^{\mu, \text{out}} + \boldsymbol{\psi}_u, \right. \\ \left. \text{div}(\varepsilon \mathbf{u}) = 0 \text{ in } \Omega, \mathbf{u} \times \boldsymbol{\nu} = 0 \text{ on } \partial\Omega, c_n^\varepsilon, c_m^\mu \in \mathbb{C}, \tilde{\mathbf{u}}, \boldsymbol{\psi}_u \in \mathbf{V}_{-\beta}^0(\Omega) \right\}$$



Note that **both** the **fields** and the **curl of fields** are **singular**.

- Then we consider the problem

$$(\mathcal{P} \mathcal{X}^{\text{out}}) \left| \begin{array}{l} \text{Find } \mathbf{u} \in \mathcal{X}_N^{\text{out}}(\varepsilon) \text{ such that for all } v \in \mathbf{X}_N^{\text{out}, \beta}(\varepsilon) \\ \int_{\Omega} \mu^{-1} \boldsymbol{\psi}_u \cdot \mathbf{curl} \bar{v} \, dx - \omega^2 \int_{\Omega} \varepsilon \mathbf{u} \cdot \bar{v} \, dx = i\omega \int_{\Omega} \mathbf{J} \cdot \bar{v} \, dx \end{array} \right.$$

with  $\mathbf{X}_N^{\text{out}, \beta}(\varepsilon) := \left\{ \mathbf{u} = \sum_{n=1}^N c_n^\varepsilon \nabla \mathfrak{s}_n^{\varepsilon, \text{out}} + \tilde{\mathbf{u}} \mid \mathbf{curl} \mathbf{u} \in \mathbf{V}_{\beta}^0(\Omega), \right. \\ \left. \text{div}(\varepsilon \mathbf{u}) = 0 \text{ in } \Omega, \mathbf{u} \times \boldsymbol{\nu} = 0 \text{ on } \partial\Omega, c_n^\varepsilon \in \mathbb{C}, \tilde{\mathbf{u}} \in \mathbf{V}_{-\beta}^0(\Omega) \right\}$

## T-coercivity

---

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ . First, we would like to solve

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' \, dx = \int_{\Omega} \mu \mathbf{curl} \, \mathbf{E} \cdot \nabla \psi' \, dx, \quad \forall \psi' \in \mathcal{V}_{\beta}^1(\Omega).$$

But this is **impossible** because the rhs is not in the good space.

→ We have to **regularise**.

# T-coercivity

---

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ .

1 Introduce  $\psi \in \mathcal{V}^{\text{out}}$  such that

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu r^{2\beta} \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in \mathcal{V}_{\beta}^1(\Omega).$$

Ok when  $A_{\mu}^{\text{out}}$  is an isom.

# T-coercivity

---

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ .

① Introduce  $\psi \in \mathcal{V}^{\text{out}}$  such that

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu r^{2\beta} \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in \mathcal{V}_{\beta}^1(\Omega).$$

☞ Ok when  $A_{\mu}^{\text{out}}$  is an isom.

② Since  $\text{div}(\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , one can prove  $\exists \mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  s.t.

$$\mathbf{curl} \mathbf{u} = \mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

# T-coercivity

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ .

① Introduce  $\psi \in \mathcal{V}^{\text{out}}$  such that

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu r^{2\beta} \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in \mathcal{V}_{\beta}^1(\Omega).$$

☞ Ok when  $A_{\mu}^{\text{out}}$  is an isom.

② Since  $\text{div}(\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , one can prove  $\exists \mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  s.t.

$$\mathbf{curl} \mathbf{u} = \mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Non trivial result because  $\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \in \mathbf{V}_{\beta}^0(\Omega) \supset \mathbf{L}^2(\Omega)$ .

# T-coercivity

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ .

① Introduce  $\psi \in \mathcal{V}^{\text{out}}$  such that

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu r^{2\beta} \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in \mathcal{V}_{\beta}^1(\Omega).$$

👉 Ok when  $A_{\mu}^{\text{out}}$  is an isom.

② Since  $\text{div}(\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , one can prove  $\exists \mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  s.t.

$$\mathbf{curl} \mathbf{u} = \mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Non trivial result because  $\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \in \mathbf{V}_{\beta}^0(\Omega) \supset \mathbf{L}^2(\Omega)$ .

③ Introduce  $\varphi \in \mathbf{V}^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathcal{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in \mathbf{V}_{\beta}^1(\Omega).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

# T-coercivity

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ .

1 Introduce  $\psi \in \mathcal{V}^{\text{out}}$  such that

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu r^{2\beta} \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in \mathcal{V}_{\beta}^1(\Omega).$$

Ok when  $A_{\mu}^{\text{out}}$  is an isom.

2 Since  $\text{div}(\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , one can prove  $\exists \mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  s.t.

$$\mathbf{curl} \mathbf{u} = \mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Non trivial result because  $\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \in \mathbf{V}_{\beta}^0(\Omega) \supset \mathbf{L}^2(\Omega)$ .

3 Introduce  $\varphi \in \mathbf{V}^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathcal{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in \mathbf{V}_{\beta}^1(\Omega).$$

Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

4 Finally, set  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi$ .

# T-coercivity

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ .

① Introduce  $\psi \in \mathcal{V}^{\text{out}}$  such that

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu r^{2\beta} \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in \mathcal{V}_{\beta}^1(\Omega).$$

👉 Ok when  $A_{\mu}^{\text{out}}$  is an isom.

② Since  $\text{div}(\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , one can prove  $\exists \mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  s.t.

$$\mathbf{curl} \mathbf{u} = \mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Non trivial result because  $\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \in \mathbf{V}_{\beta}^0(\Omega) \supset \mathbf{L}^2(\Omega)$ .

③ Introduce  $\varphi \in \mathbf{V}^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathcal{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in \mathbf{V}_{\beta}^1(\Omega).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

④ Finally, set  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi$ . One has  $\mathbf{curl}(\mathbf{T}\mathbf{E}) = \mu \nabla \mathbf{s}^{\mu, \text{out}} + \psi_{\mathbf{T}\mathbf{E}}$  and so

$$a(\mathbf{T}\mathbf{E}, \mathbf{E}) = \int_{\Omega} \mu^{-1} \psi_{\mathbf{T}\mathbf{E}} \cdot \mathbf{curl} \bar{\mathbf{E}} dx$$



# T-coercivity

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ .

① Introduce  $\psi \in \mathcal{V}^{\text{out}}$  such that

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu r^{2\beta} \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in \mathcal{V}_{\beta}^1(\Omega).$$

👉 Ok when  $A_{\mu}^{\text{out}}$  is an isom.

② Since  $\text{div}(\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , one can prove  $\exists \mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  s.t.

$$\mathbf{curl} \mathbf{u} = \mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Non trivial result because  $\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \in \mathbf{V}_{\beta}^0(\Omega) \supset \mathbf{L}^2(\Omega)$ .

③ Introduce  $\varphi \in \mathbf{V}^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathcal{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in \mathbf{V}_{\beta}^1(\Omega).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

④ Finally, set  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi$ . One has  $\mathbf{curl}(\mathbf{T}\mathbf{E}) = \mu \nabla \mathbf{s}^{\mu, \text{out}} + \psi_{\mathbf{T}\mathbf{E}}$  and so

$$a(\mathbf{T}\mathbf{E}, \mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{u} \cdot \mathbf{curl} \bar{\mathbf{E}} dx$$

# T-coercivity

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ .

① Introduce  $\psi \in \mathcal{V}^{\text{out}}$  such that

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu r^{2\beta} \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in \mathcal{V}_{\beta}^1(\Omega).$$

👉 Ok when  $A_{\mu}^{\text{out}}$  is an isom.

② Since  $\text{div}(\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , one can prove  $\exists \mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  s.t.

$$\mathbf{curl} \mathbf{u} = \mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Non trivial result because  $\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \in \mathbf{V}_{\beta}^0(\Omega) \supset \mathbf{L}^2(\Omega)$ .

③ Introduce  $\varphi \in \mathbf{V}^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathcal{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in \mathbf{V}_{\beta}^1(\Omega).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

④ Finally, set  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi$ . One has  $\mathbf{curl}(\mathbf{T}\mathbf{E}) = \mu \nabla \mathbf{s}^{\mu, \text{out}} + \psi_{\mathbf{T}\mathbf{E}}$  and so

$$a(\mathbf{T}\mathbf{E}, \mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{u} \cdot \mathbf{curl} \bar{\mathbf{E}} dx = \int_{\Omega} (r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \cdot \mathbf{curl} \bar{\mathbf{E}} dx$$

# T-coercivity

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ .

① Introduce  $\psi \in \mathcal{V}^{\text{out}}$  such that

$$\int_{\Omega} \mu \nabla \psi \cdot \nabla \psi' dx = \int_{\Omega} \mu r^{2\beta} \mathbf{curl} \mathbf{E} \cdot \nabla \psi' dx, \quad \forall \psi' \in \mathcal{V}_{\beta}^1(\Omega).$$

👉 Ok when  $A_{\mu}^{\text{out}}$  is an isom.

② Since  $\text{div}(\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi)) = 0$ , one can prove  $\exists \mathbf{u} \in \mathbf{V}_{-\beta}^0(\Omega)$  s.t.

$$\mathbf{curl} \mathbf{u} = \mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \quad \text{in } \Omega.$$

Non trivial result because  $\mu(r^{2\beta} \mathbf{curl} \mathbf{E} - \nabla \psi) \in \mathbf{V}_{\beta}^0(\Omega) \supset \mathbf{L}^2(\Omega)$ .

③ Introduce  $\varphi \in \mathbf{V}^{\text{out}}$  such that  $\mathbf{u} - \nabla \varphi \in \mathcal{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla \varphi' dx, \quad \forall \varphi' \in \mathbf{V}_{\beta}^1(\Omega).$$

👉 Ok when  $A_{\varepsilon}^{\text{out}}$  is an isom.

④ Finally, set  $\mathbf{T}\mathbf{E} := \mathbf{u} - \nabla \varphi$ . One has  $\mathbf{curl}(\mathbf{T}\mathbf{E}) = \mu \nabla \mathbf{s}^{\mu, \text{out}} + \psi_{\mathbf{T}\mathbf{E}}$  and so

$$a(\mathbf{T}\mathbf{E}, \mathbf{E}) = \int_{\Omega} \mu^{-1} \mathbf{curl} \mathbf{u} \cdot \mathbf{curl} \bar{\mathbf{E}} dx = \int_{\Omega} r^{2\beta} |\mathbf{curl} \mathbf{E}|^2 dx.$$

# T-coercivity

Consider  $\mathbf{E} \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$ .

1 Introduce  $\psi \in \mathcal{V}^{\text{out}}$  such that

LEMMA. When

$A_\varepsilon^{\text{out}} : \mathcal{V}^{\text{out}} \rightarrow V_\beta^1(\Omega)^*$  is an isomorphism

$A_\mu^{\text{out}} : \mathcal{V}_\mu^{\text{out}} \rightarrow V_\beta^1(\Omega)^*$  is an isomorphism,

there is  $\mathbb{T} : \mathbf{X}_N^{\text{out},\beta}(\varepsilon) \rightarrow \mathcal{X}_N^{\text{out}}(\varepsilon)$  such that, for all  $\mathbf{E}, \mathbf{E}' \in \mathbf{X}_N^{\text{out},\beta}(\varepsilon)$

$$a(\mathbb{T}\mathbf{E}, \mathbf{E}') = \int_{\Omega} r^{2\beta} \mathbf{curl} \mathbf{E} \cdot \mathbf{curl} \overline{\mathbf{E}'} dx.$$

3 Introduce  $\varphi \in V^{\text{out}}$  such that  $\mathbf{u} - \nabla\varphi \in \mathcal{X}_N^{\text{out}}(\varepsilon)$ . To proceed, solve

$$\int_{\Omega} \varepsilon \nabla\varphi \cdot \nabla\varphi' dx = \int_{\Omega} \varepsilon \mathbf{u} \cdot \nabla\varphi' dx, \quad \forall \varphi' \in V_\beta^1(\Omega).$$

👉 Ok when  $A_\varepsilon^{\text{out}}$  is an isom.

4 Finally, set  $\mathbb{T}\mathbf{E} := \mathbf{u} - \nabla\varphi$ . One has  $\mathbf{curl}(\mathbb{T}\mathbf{E}) = \mu \nabla \mathfrak{s}^{\mu,\text{out}} + \psi_{\mathbb{T}\mathbf{E}}$  and so

# Analysis of the principal part

---

- With **Riesz**, define  $\mathbb{A}_N^{\text{out}} : \mathcal{X}_N^{\text{out}}(\varepsilon) \rightarrow (\mathbf{X}_N^{\text{out},\beta}(\varepsilon))^*$  s.t. for all  $\mathbf{u}, \mathbf{v}$

$$\langle \mathbb{A}_N^{\text{out}} \mathbf{u}, \mathbf{v} \rangle = \int_{\Omega} \mu^{-1} \boldsymbol{\psi}_u \cdot \mathbf{curl} \bar{\mathbf{v}} \, dx.$$

# Analysis of the principal part

- ▶ With **Riesz**, define  $\mathbb{A}_N^{\text{out}} : \mathcal{X}_N^{\text{out}}(\varepsilon) \rightarrow (\mathbf{X}_N^{\text{out},\beta}(\varepsilon))^*$  s.t. for all  $\mathbf{u}, \mathbf{v}$

$$\langle \mathbb{A}_N^{\text{out}} \mathbf{u}, \mathbf{v} \rangle = \int_{\Omega} \mu^{-1} \psi_{\mathbf{u}} \cdot \mathbf{curl} \bar{\mathbf{v}} \, dx.$$

PROPOSITION: When  $A_{\varepsilon}^{\text{out}}$ ,  $A_{\mu}^{\text{out}}$  are isomorphisms,  $\mathbf{X}_N^{\text{out},\beta}(\varepsilon)$  endowed with  $(r^{2\beta} \mathbf{curl} \cdot, \mathbf{curl} \cdot)_{\Omega}$  is a **Hilbert space**.

- ▶ Therefore, from the previous lemma, we get  $\mathbb{A}_N^{\text{out}} \mathbb{T} = \text{Id}$ . This shows that  $\mathbb{A}_N^{\text{out}}$  is **onto**.

# Analysis of the principal part

- ▶ With **Riesz**, define  $\mathbb{A}_N^{\text{out}} : \mathcal{X}_N^{\text{out}}(\varepsilon) \rightarrow (\mathbf{X}_N^{\text{out},\beta}(\varepsilon))^*$  s.t. for all  $\mathbf{u}, \mathbf{v}$

$$\langle \mathbb{A}_N^{\text{out}} \mathbf{u}, \mathbf{v} \rangle = \int_{\Omega} \mu^{-1} \psi_{\mathbf{u}} \cdot \mathbf{curl} \bar{\mathbf{v}} \, dx.$$

PROPOSITION: When  $A_{\varepsilon}^{\text{out}}, A_{\mu}^{\text{out}}$  are isomorphisms,  $\mathbf{X}_N^{\text{out},\beta}(\varepsilon)$  endowed with  $(r^{2\beta} \mathbf{curl} \cdot, \mathbf{curl} \cdot)_{\Omega}$  is a **Hilbert space**.

- ▶ Therefore, from the previous lemma, we get  $\mathbb{A}_N^{\text{out}} \mathbb{T} = \text{Id}$ . This shows that  $\mathbb{A}_N^{\text{out}}$  is **onto**.
- ▶ Now if  $\mathbf{E} \in \ker \mathbb{A}_N^{\text{out}}$ , **energy considerations** ensure that  $\mathbf{curl} \mathbf{E} \in \mathbf{L}^2(\Omega)$ . Then we obtain

$$0 = \langle \mathbb{A}_N^{\text{out}} \mathbf{E}, \mathbb{T} \mathbf{E} \rangle = \int_{\Omega} r^{2\beta} |\mathbf{curl} \mathbf{E}|^2 \, dx$$

and so  $\mathbf{E} \equiv 0$ . This shows that  $\mathbb{A}_N^{\text{out}}$  is **injective**.

# Analysis of the principal part

- ▶ With **Riesz**, define  $\mathbb{A}_N^{\text{out}} : \mathcal{X}_N^{\text{out}}(\varepsilon) \rightarrow (\mathbf{X}_N^{\text{out},\beta}(\varepsilon))^*$  s.t. for all  $\mathbf{u}, \mathbf{v}$

$$\langle \mathbb{A}_N^{\text{out}} \mathbf{u}, \mathbf{v} \rangle = \int_{\Omega} \mu^{-1} \psi_{\mathbf{u}} \cdot \mathbf{curl} \bar{\mathbf{v}} \, dx.$$

PROPOSITION: When  $A_{\varepsilon}^{\text{out}}, A_{\mu}^{\text{out}}$  are isomorphisms,  $\mathbf{X}_N^{\text{out},\beta}(\varepsilon)$  endowed with  $(r^{2\beta} \mathbf{curl} \cdot, \mathbf{curl} \cdot)_{\Omega}$  is a **Hilbert space**.

- ▶ Therefore, from the previous lemma, we get  $\mathbb{A}_N^{\text{out}} \mathbb{T} = \text{Id}$ . This shows that  $\mathbb{A}_N^{\text{out}}$  is **onto**.

- ▶ Now if  $\mathbf{E} \in \ker \mathbb{A}_N^{\text{out}}$ , **energy considerations** ensure that  $\mathbf{curl} \mathbf{E} \in \mathbf{L}^2(\Omega)$ . Then we obtain

$$0 = \langle \mathbb{A}_N^{\text{out}} \mathbf{E}, \mathbb{T} \mathbf{E} \rangle = \int_{\Omega} r^{2\beta} |\mathbf{curl} \mathbf{E}|^2 \, dx$$

- and so  $\mathbf{E} \equiv 0$ . This shows that  $\mathbb{A}_N^{\text{out}}$  is **injective**.

THEOREM: When  $A_{\varepsilon}^{\text{out}}, A_{\mu}^{\text{out}}$  are isomorphisms,  $\mathbb{A}_N^{\text{out}}$  is an isomorphism.



# Final result

---

- ▶ Additional work is needed to prove the compactness of the operator associated to

$$(\mathbf{u}, \mathbf{v}) \mapsto \int_{\Omega} \varepsilon \mathbf{u} \cdot \bar{\mathbf{v}} \, dx$$

and to show the **equivalence** with the initial problem.

- ▶ Finally, we get (Bonnet-BenDhia, Chesnel, Rihani 23):

THEOREM. Suppose that

$A_{\varepsilon}^{\text{out}} : \mathbf{V}_{\varepsilon}^{\text{out}} \rightarrow \mathbf{V}_{\beta}^1(\Omega)^*$  is an isomorphism

$A_{\mu}^{\text{out}} : \mathbf{V}_{\mu}^{\text{out}} \rightarrow \mathbf{V}_{\beta}^1(\Omega)^*$  is an isomorphism.

Then, the problem  $(\mathcal{P} \mathbf{x}_N^{\text{out}})$  and the initial problem are **well-posed** for all  $\omega \in \mathbb{C} \setminus \mathcal{S}$  where  $\mathcal{S}$  is a discrete (or empty) set of  $\mathbb{C}$ .

- 1 Positive coefficients
- 2 Sign-changing coefficients - non critical  $\varepsilon$ ,  $\mu$
- 3 Scalar problems
- 4 Sign-changing coefficients - critical  $\varepsilon$ , non critical  $\mu$
- 5 Sign-changing coefficients - critical  $\varepsilon$ ,  $\mu$

## Conclusion

### What we obtained

- 1) When  $A_\varepsilon : H_0^1(\Omega) \rightarrow H_0^1(\Omega)$ ,  $A_\mu : H_{\#}^1(\Omega) \rightarrow H_{\#}^1(\Omega)$  are isomorphisms, the electric problem is well-posed in the **usual space**.  
→ For the **circular conical tip**, this corresponds to  $\kappa_\varepsilon, \kappa_\mu \notin [-1; -a_\alpha]$ .
- 2) When  $A_\varepsilon^{\text{out}} : V_\varepsilon^{\text{out}} \rightarrow V_\beta^1(\Omega)^*$ ,  $A_\mu : H_{\#}^1(\Omega) \rightarrow H_{\#}^1(\Omega)$  are isomorphisms, the electric problem is well-posed in a space of **singular fields** whose **curls are in  $L^2(\Omega)$** .  
→ For the **circular conical tip**, case  $\kappa_\varepsilon \in (-1; -a_\alpha)$ ,  $\kappa_\mu \notin [-1; -a_\alpha]$ .
- 3) When  $A_\varepsilon^{\text{out}} : V_\varepsilon^{\text{out}} \rightarrow V_\beta^1(\Omega)^*$ ,  $A_\mu^{\text{out}} : \mathcal{V}_\mu^{\text{out}} \rightarrow \mathcal{V}_\beta^1(\Omega)^*$  are isomorphisms, the electric problem is well-posed in a space where the **fields** and **their curls are singular**.  
→ For the **circular conical tip**, case  $\kappa_\varepsilon, \kappa_\mu \in (-1; -a_\alpha)$ .

## Conclusion

### Comments and open questions

- ♠ We have similar results for the **magnetic problem**.
- ♠ In cases 2), 3), the problems in the **usual spaces** are either **ill-posed** or **not equivalent** to the initial Maxwell's equations.
- ♠ **Outgoing behaviours** can be justified in certain situations with the **limiting absorption principle**.
- ♠ It is not clear how to solve **numerically** the problems 2), 3).
- ♠ How to study **other 3D singular geometries**, in particular with edges?
- ♠ Can this be useful to study other problems (**elasticity**)?

Thank you!



**Section 2** A.-S. Bonnet-BenDhia, L. Chesnel, P. Ciarlet Jr., *T-coercivity for the Maxwell problem with sign-changing coefficients*, CPDE, vol. 39, 06:1007-1031, 2014.



**Section 4** A.-S. Bonnet-BenDhia, L. Chesnel, M. Rihani, *Maxwell's equations with hyper-singularities at a conical plasmonic tip*, JMPA, vol. 161, 70-110, 2022.



**Section 5** A.-S. Bonnet-BenDhia, L. Chesnel, M. Rihani, *Maxwell's equations with hyper-singularities at a negative index material conical tip*, arXiv 2305.01982, 2023.



H.-M. Nguyen, S. Sil, *Limiting absorption principle and well-posedness for the time-harmonic Maxwell equations with anisotropic sign-changing coefficients*, Commun. Math. Phys., vol. 379, 145-176, 2020.



M. Rihani, *Maxwell's equations in presence of negative materials*, PhD thesis, 2022.